# The Unreasonable Effectiveness of Graph Neural Networks for Wireless Communications





In collaboration with

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Computational challenges of wireless networks

ΔŢΛ The unreasonable effectiveness of "learn to optimize"



((**(**p))

Graph neural networks (GNNs) for wireless communications



Theoretical analysis: GNNs vs. MLPs



## Computational challenges of wireless networks

### **Motivations**



Hard large-scale optimization problems are ubiquitous in wireless networks



Computational challenges in optimizing wireless networks





## Classic algorithmic approaches

#### • Global optimization algorithms

- exponential time complexity
- only work for very small problems

#### • Heuristic algorithms

- examples: greedy algorithm for user selection
- hard to design good ones
- non-negligible gap to the optimal solution
- difficult to meet real-time requirement

### The unreasonable effectiveness of "learn to optimize"











Successes of Deep Learning

Living portraits





### Learning to Optimize

#### • Observations

- Past data and future problem data have the same distribution
- Deep learning can learn a good algorithm from data
- Design Goal
  - Automatically learn a real-time near-optimal algorithm for difficult optimization problems



## An early attempt



#### Output

- Resource allocation
- Detection results



#### [SCS+18]

- Sum rate maximization of interference channel (NP hard).
- A 3-layer multilayer perceptron (MLP) with [200,80,80] neurons.

[SCS+18] H. Sun, X. Chen, Q. Shi, M. Hong, X. Fu, and N. D. Sidiropoulos, "Learning to optimize: Training deep neural networks for interference management," *IEEE Trans. Signal Process.*, vol. 66, pp. 5438 – 5453, Oct. 2018.

## Limitations of L2O via MLPs

• Poor scalability

		average sum-rate (bit/sec.)				
# of users (K)		DNN	WMMSE	DNN/WMMSE		
10		2.770	2.817	98.33%		
20		3.363	3.654	92.04%		
30		3.498	4.150	84.29%		

#### • Huge amounts of samples

- Millions of samples;
- Optimal labels are difficult to generate.

#### • Weak generalization

- The output dimension of neural networks must be fixed.

# Our targets

#### High sample efficiency

• To be trained with thousands of unlabelled samples

#### **Scalability**

• Be able to work for large scale problems

#### **Good generalization**

• Be able to generalize to different problem sizes

#### **Theory-guided design principles**

• Effective in designing good neural architecture



# Deep Learning: Alchemy or Science?

## GNNs for wireless communications

### Which neural architecture to use?

- Why MLP is not effective?
  - It could not exploit structure information in data.



- A successful story: Convolutional neural network (CNN) for image processing.
  - It exploits the shift-invariance, local connectivity, compositionality of images.



## A new architecture: Graph Neural Networks (GNNs)



• **GNN** Applications



Recommendation



Chemistry



Point clouds



Graph problems

### **Motivations**

- **Observation:** Wireless networks naturally graphs
  - Optimization problems in wireless communication are graph optimization
- Basic Idea: Incorporate graph topology into neural networks



### **GNN-based** framework

• **Proposal:** A two-stage approach for large-scale network optimization



## Stage I: Graph modeling

- Main idea: network topology as graphs
  - AP/UE as nodes;
  - Communication links as edges
  - Channel information as edge features
- Typical examples: I) user admission; I) power control; 2) (hybrid) beamforming



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### Stage II: Graph neural networks

- Key idea: neural message-passing between nodes
- Two stages: message encoding & message aggregation



### GNNs for wireless networks



## Why GNN? – Theoretical support

- A class of distributed algorithms called *distributed message passing (DMP)*, including many classic algorithms
  - Fractional programming for power control [Shen18TSP]
  - WMMSE for beamforming [ShillTSP]
  - Riemannian gradient for hybrid precoding [Yu16JSTSP]
- Theorem: equivalence between GNNs and DMP algorithms
  - I. GNNs are special cases of DMP algorithms
  - 2. For any DMP algorithm, there exists a GNN that approximates it well

## Why GNN? – Theoretical support

#### • Theorem: equivalence between GNNs and DMPs

- I. GNNs are special cases of DMPs
- 2. For any DMP algorithm, there exists an GNN that approximate it well

### • Interpretations:

- I. The distributed property allows GNNs to achieve:
  - Good generalization: To generalize to any number of UEs/APs during the test
  - High computation efficiency: To have constant running time independent of number of UEs/APs
- 2. If a GNN is trained well, its performance is at least as good as DMPs

### Case study: Power control

#### • Sum rate maximization in K-user interference channel

- $h_{kk}$  is the direct channel of k-th user
- $h_{kj}$  is the interference channel between j-th and k-th user
- $p_k$  is the power for k-th transmitter

### • NP-hard

$$\begin{array}{ll} \underset{p_1, \cdots, p_K}{\text{maximize}} & \sum_{k=1}^{K} \log_2 \left( 1 + \frac{|h_{kk}|^2 p_k}{\sum_{j \neq k} |h_{kj}|^2 p_j + \sigma_k^2} \right) \\ \text{subject to} & 0 \le p_k \le 1. \end{array}$$

We adopt unsupervised training, i.e., no label is needed!



### Simulations: GNN vs. Distributed Algorithm

- A I-layer GNN outperforms WMMSE (it belongs to DMP) with 10 iterations.
- A 2-layer GNN outperforms WMMSE with 30 iterations.



### Simulations: Improved performance

#### • Legends

- EGGNN: Proposed GNN method
- WMMSE: a widely adopted optimizationbased method [ShillTSP]
- DNN: DNN-based method [Sun 18TSP]

#### • Advantages

- Near-optimal performance, better than WMMSE
- Much better scalability than DNN

$$\underset{\boldsymbol{p}}{\text{maximize}} \quad \sum_{k=1}^{K} \log_2 \left( 1 + \frac{|h_{k,k}|^2 p_k}{\sum_{j \neq k}^{K} |h_{j,k}|^2 p_j + \sigma_k^2} \right)$$

subject to  $0 \le p_k \le P$ 

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Performance is normalized by the best of FPlinQ with 100 different initialization points

### Simulations: Scalability

• Setting: GNN trained on 50 users and test on different numbers of users

#### • Advantages

- Orders of magnitude of speedups
- On CPU, computation time is reduced by 100~1000 times compared with WMMSE
- Nearly constant time scale up on GPU



### Simulations: Generalization

#### • Generalization to larger scales

- Trained with 50 pairs in a 1000m×1000m region.
- Test with different numbers of pairs while the density of users is fixed.

GENERALIZATION TO LARGER PROBLEM SCALES BUT SAME DENSITY

Links	Size $(m^2)$	$(d_{\min}, d_{\max})$		
		(10m,50m)	(30m,30m)	
200	$2000 \times 2000$	98.3%	98.1%	
400	$2828 \times 2828$	98.9%	98.2%	
600	$3464 \times 3464$	98.8%	98.7%	
800	$4000 \times 4000$	98.9%	98.6%	
1000	$4772 \times 4772$	98.9%	98.7%	

### • Generalization to higher densities

- Trained with 50 pairs in a 1000m×1000m region.
- Change the number of pairs in the test set while fixing the area size.

Generalization Over Different Link Densities. The Performance Loss Compared to K=50 Is Shown in the Bracket

Links	Size $(m^2)$	$(d_{\min}, d_{\max})$			
		(10m,50m)	(30m,30m)		
100		97.6% (+0.1%)	96.4% (-0.1%)		
200		97.0% (-0.5%)	96.0% (-0.5%)		
300	$1000 \times 1000$	95.9% (-1.6%)	94.9% (-1.6%)		
400		95.6% (-1.9%)	94.5% (-2.0%)		
500		95.3% (-2.2%)	94.5% (-2.0%)		

# Theoretical analysis: GNN vs. MLP

The reasonable effectiveness of GNNs

### Theoretical analysis

- So far, we have shown
  - Incorporating prior knowledge of wireless communications into neural architectures improves performance
- Goal of this part: to theoretically characterize
  - How many training samples are needed to train the neural network well?
  - How much performance gains can "structure" bring?

### Generalization analysis of deep learning

### • Generalization Analysis via PAC-learning

- Test data are drawn i.i.d. from unknown distribution D;
- $f^*(\cdot)$  is an oracle algorithm generating an optimal solution;
- $f(x_i, W^*)$  is neural network where W is weight obtained by training;
- Given N training samples, if with probability at least  $\delta$ , we have

$$\mathbb{E}_{x \sim \mathcal{D}} \| f(x, W^*) - f^*(x) \| \le \epsilon$$

- then we call  $f^*(\cdot)$  is  $(\varepsilon, \delta, N)$  learnable by this neural network.
- The minimum number of training samples N is called the sample complexity

### • Key idea (algorithmic alignment)

• If the neural network shares a common structure with the oracle function  $f^*(\cdot)$ , then the sample complexity is low.

### Main result

- Target Example: GNNs versus unstructured deep neural networks (DNNs), i.e., MLP, for "learning to optimize" to solve large-scale graph optimization problems.
- **Theorem:** Consider an optimization problem on a |V|-node graph.
  - For GNNs, it is  $(\epsilon, \delta, \mathcal{O}(C_{\mathcal{A}}(f_0, \cdots, f_m)/V))$ -learnable.
  - For MLPs, it is  $(\epsilon, \delta, \mathcal{O}(C_{\mathcal{A}}(f_0, \cdots, f_m(V))))$ -learnable.
    - $C_{\mathcal{A}}(f_0, \cdots, f_m)$  is a constant related to
      - I. maximum degree of the graph;
      - 2. optimization objectives;
      - 3. NN substructure, e.g., activation.

### **Proof sketch**

- Lemma I: For any graph optimization problem, there exists a distributed message passing algorithm that can solve it.
- Lemma 2: If the neural network A can simulate the task algorithm with *n* modules, and each module is  $(\varepsilon, \delta, M/n)$  learnable, then the task is  $(\varepsilon, \delta, M)$  learnable by A.
- Lemma 3: To simulate a DMP algorithm,
  - GNNs require  $\mathcal{O}(1)$  modules that are  $(\epsilon, \delta, O(C/|V|))$  learnable;
  - MLPs require  $\mathcal{O}(|V|)$  modules that are  $(\epsilon, \delta, O(C))$  learnable.

GNNs aligns with DMP algorithms better than MLPs

### Corollary: GNNs vs. MLPs

• To learn DMP algorithms to solve a graph optimization problem.

- For GNNs, it is  $(\epsilon, \delta, \mathcal{O}(C_{\mathcal{A}}(\psi, f_0, \cdots, f_m)/V))$ -learnable
- For MLPs, it is  $(\epsilon, \delta, \mathcal{O}(C_{\mathcal{A}}(\psi, f_0, \cdots, f_m)))$ -learnable

GNNs vs. MLPs (sample complexity)

- GNNs require  $\mathcal{O}(|V|^2)$  times fewer training samples than MLPs.
- GNNs' performance gap to the optimal solution is O(|V|) times lower than MLPs (see our paper for more details).

## Simulations: Sample complexity

- We consider K-user interference channel power control.
- Theory: MLPs require  $\mathcal{O}(|V|^2)$  times more training samples than GNNs.

### • Simulations

- For 10 users, MLPs require 100 times more training samples;
- For 20 users, MLPs require 400~600 times more training samples.

maximize 
$$\sum_{k=1}^{K} \log_2 \left( 1 + \frac{|h_{k,k}|^2 p_k}{\sum_{j \neq k}^{K} |h_{j,k}|^2 p_j + \sigma_k^2} \right),$$
subject to  $0 \le p_k \le P$ 



Performance is normalized by the best of FPlinQ with 100 different initialization points

### Corollary: Stable performance of GNNs

• Consider a graph optimization problem on graph G = (V, E)

- For GNNs, it is  $(\epsilon, \delta, \mathcal{O}(C_{\mathcal{A}}(\psi, f_0, \cdots, f_m)/|V|))$ -learnable
  - $C_{\mathcal{A}}(\psi, f_0, \dots, f_m)$  is a constant related to 1) maximum degree of the graph; 2) optimization objectives; 3) NN substructure, e.g., activation.

### Stable performance of GNNs

- If the maximum degree does not change, the performance of GNNs is stable and independent of the node (user) number;
- Neural architectures can be specially designed to improve the bound.

### Simulations: Neural architecture design

### • Legends

- **PCGNN**: GNN designed to optimize the bound
- EGGNN: [Shen20JSAC]
- FPlinQ: optimization-based method (fractional programming)
- MLP: MLP-based method [Sun 18TSP]

#### • Simulations

• PCGNN shows consistently better performance than ECGNN.



Performance is normalized by the best of FPlinQ with 100 different initialization points

# Conclusions



# Conclusions

- GNNs for wireless communications
  - Good performance (beat SOTA algorithms)
  - Good generalization (to different problem sizes)
  - High computational efficiency (orders of magnitude speedup)
  - Wide applications

### • The **reasonable** effectiveness of GNNs

- The common structure in the target task and neural network improves sample efficiency and performance
- Supported quantitively via PAC-learning theory + algorithmics alignment
- For reproducibility
  - https://github.com/yshenaw/GNN4Com



# Future directions

- The picture is far from complete!
- Extend to other applications
  - GNNs for channel estimation
  - GNNs for MIMO detection
  - ..
- Extend to other learning approaches
  - GNNs with model-driven deep learning
- Further improve robustness to distribution shift
  - To improve out of distribution (OOD) generalization

Robustness to the change of channels. K = 10.

Setting	User distribution shift	Antenna height distribution shift	$LoS \rightarrow NLoS$	$ITU \rightarrow LTE$
PCGNN	97.62%	96.90%	94.43%	88.71%
PCGNN (Full Training)	97.78%	97.63%	97.53%	96.57%
FPlinQ	93.51%	93.66%	93.51%	94.32%

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• For more details

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