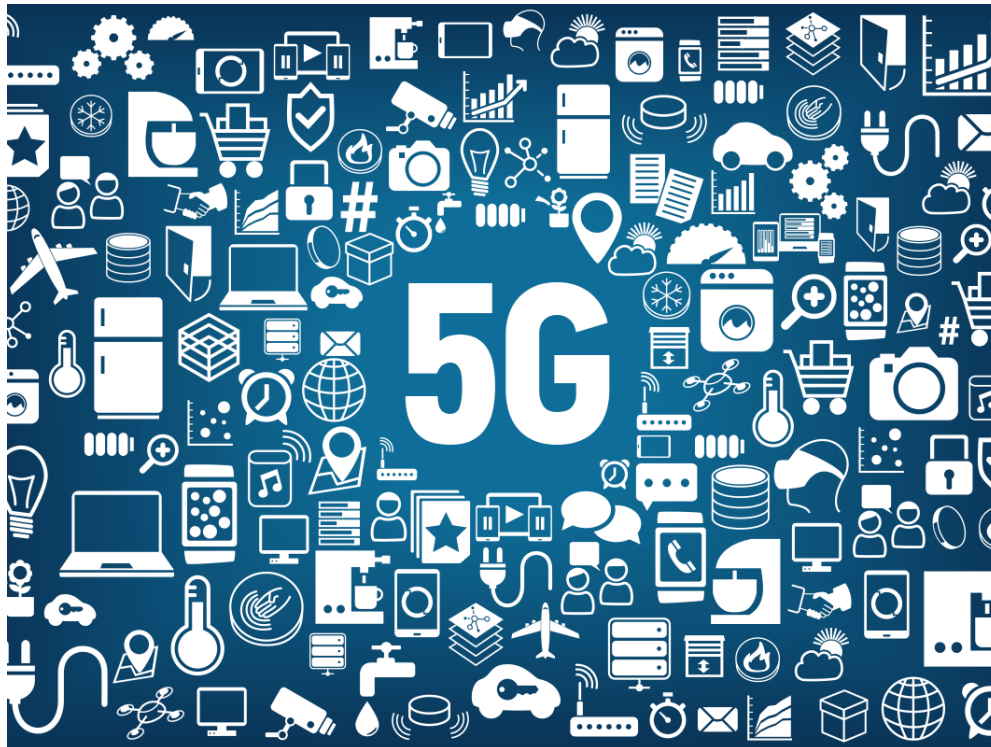
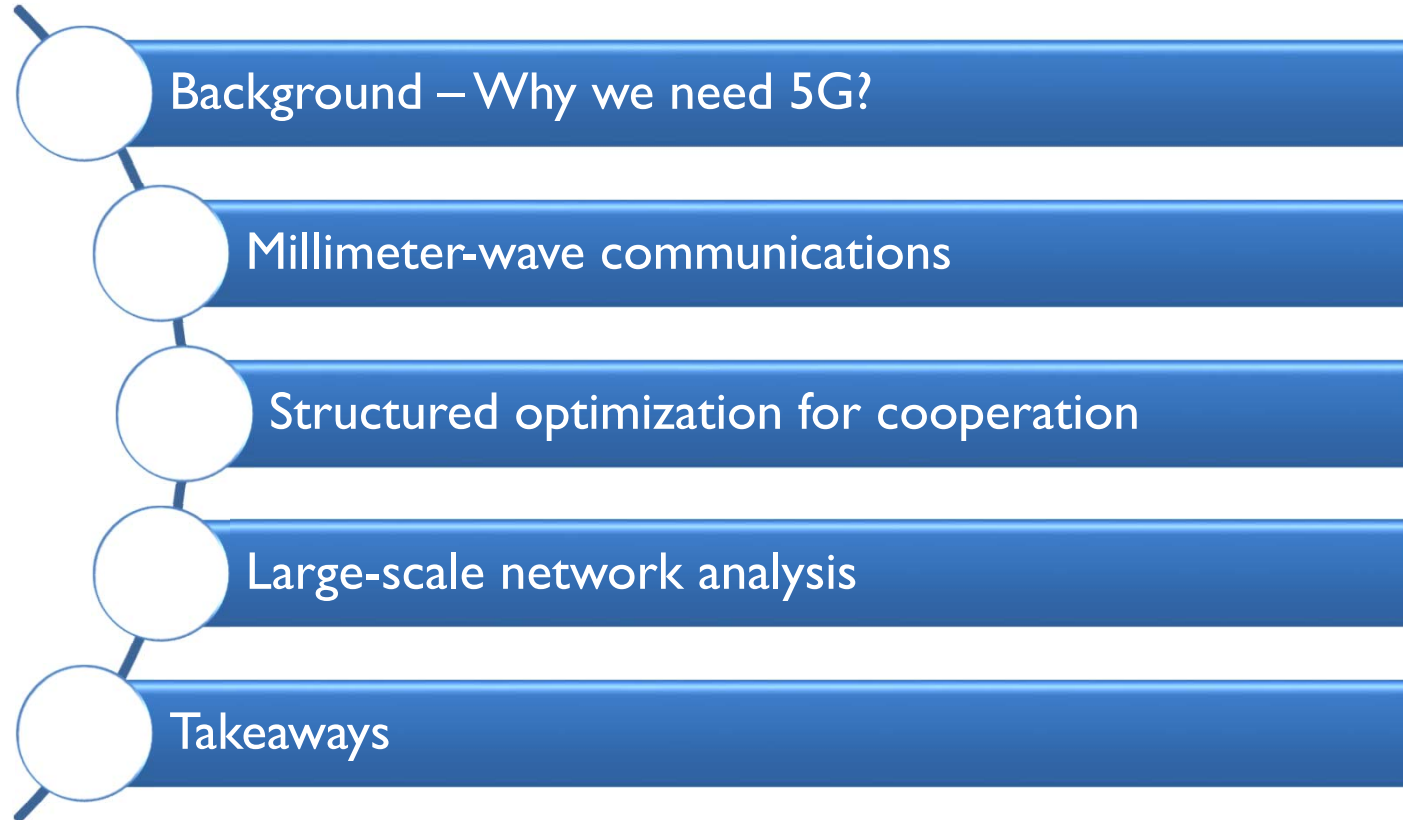


Dense Cooperative Wireless Networks for **5G**

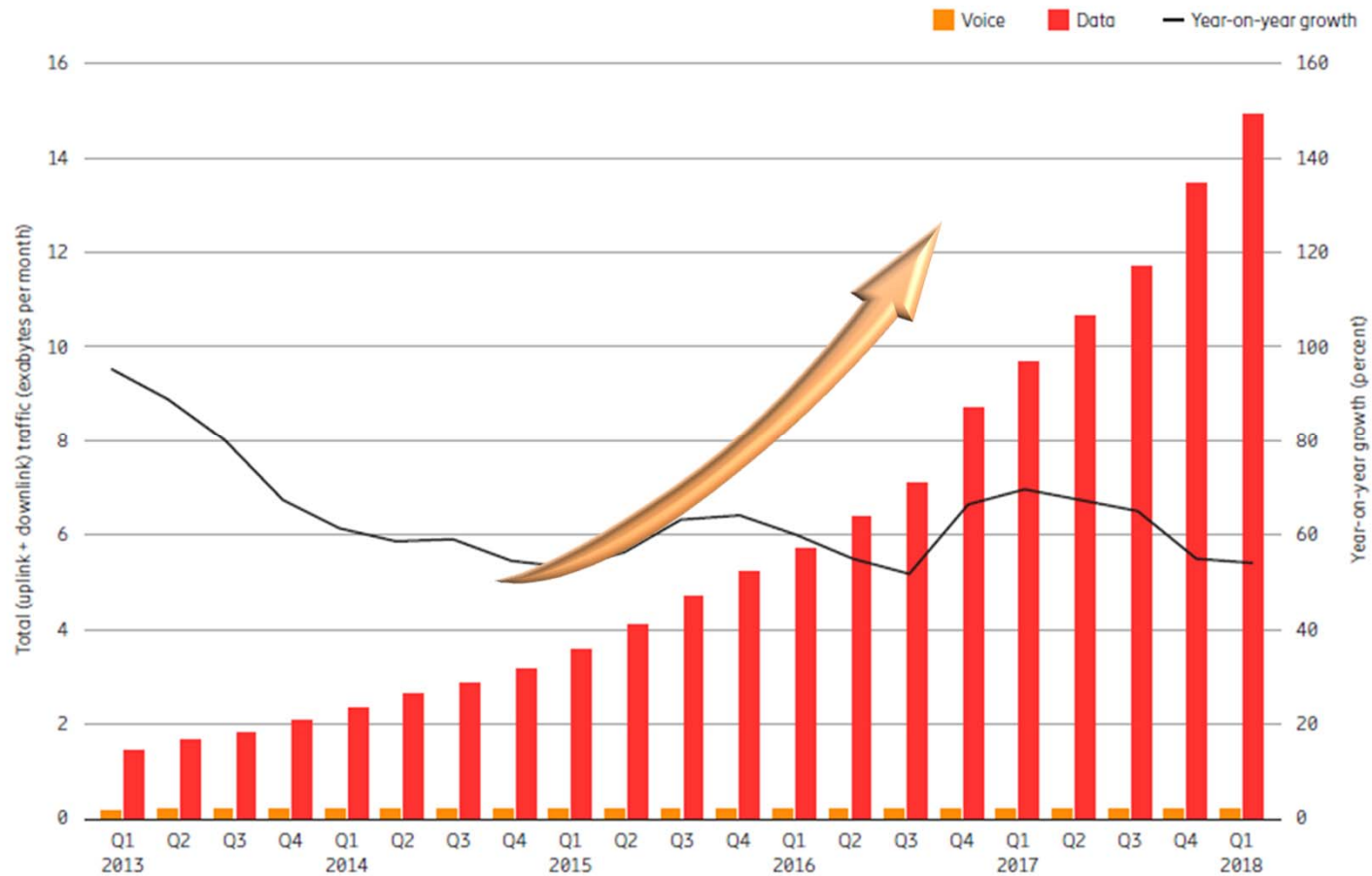
Jun ZHANG



Outline



Exponentially Growing Mobile Data



Ericsson traffic measurements (Q1 2018)

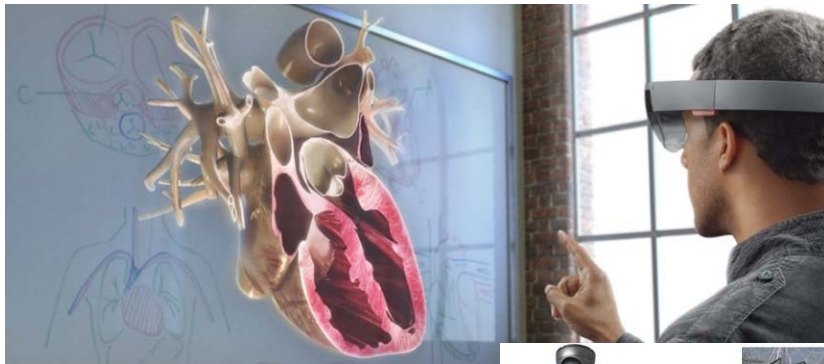
1 EB (Exabyte) = 10^{18} B
= 1 billion GB

Exploding Mobile Applications



Source: Lux Review

Intelligent Mobile Applications





Higher data rate



Massive connections



Uniform coverage

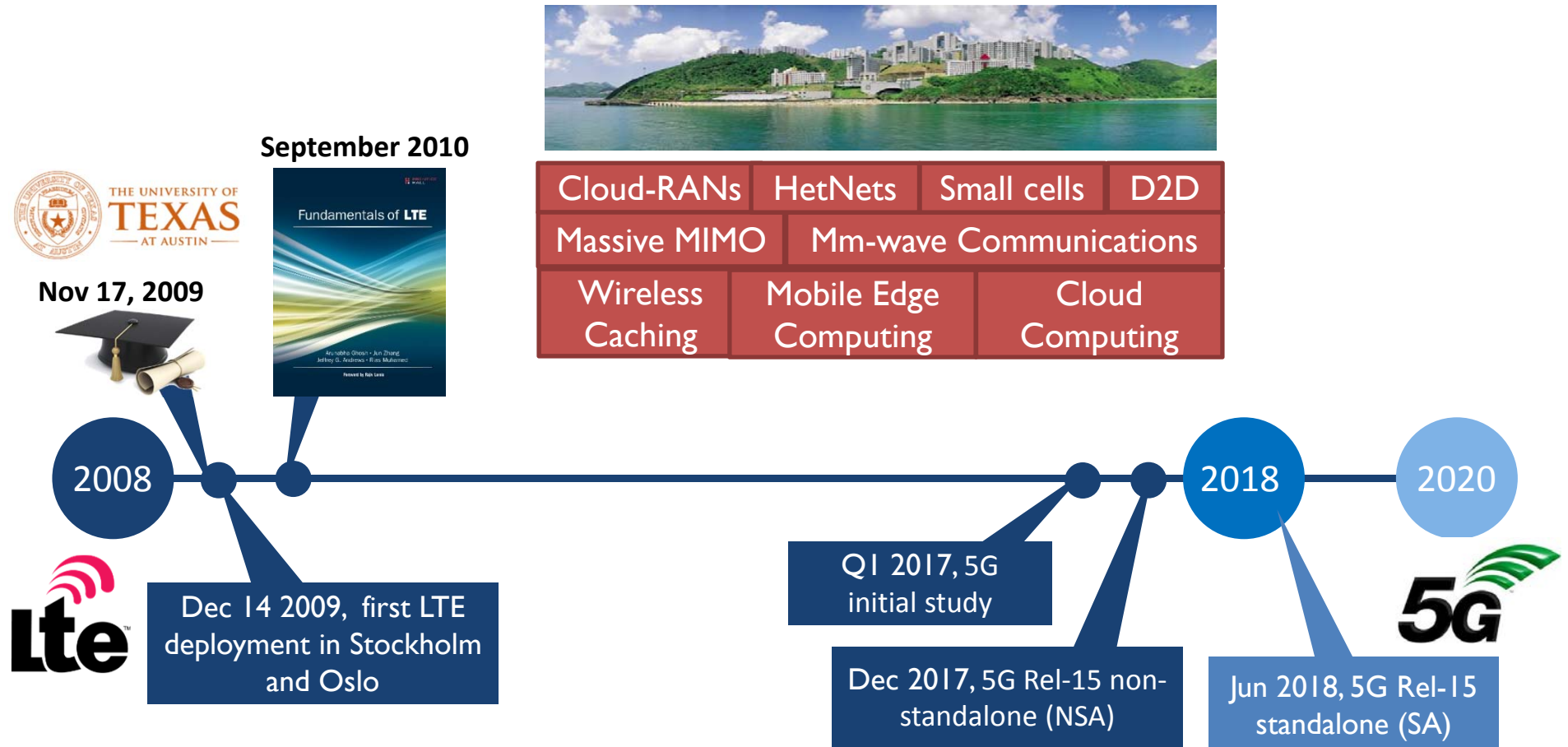


Green communications



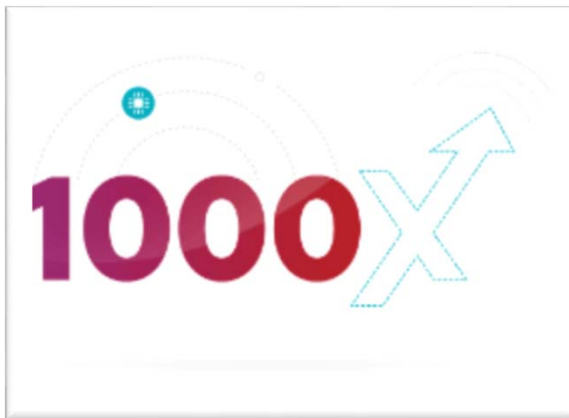
Security & privacy

A (Personal) Journey From 4G to 5G

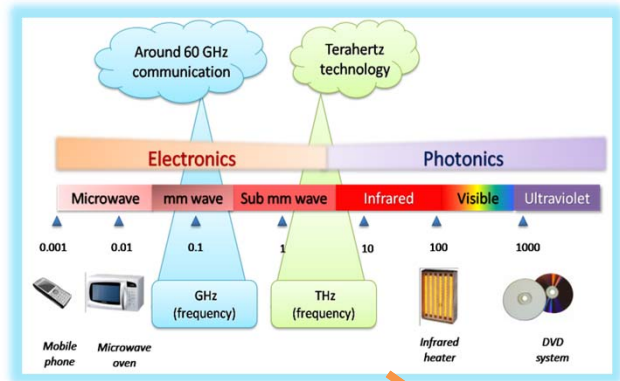


The Holy Grail for 5G

-- The **1000X** Capacity Challenge

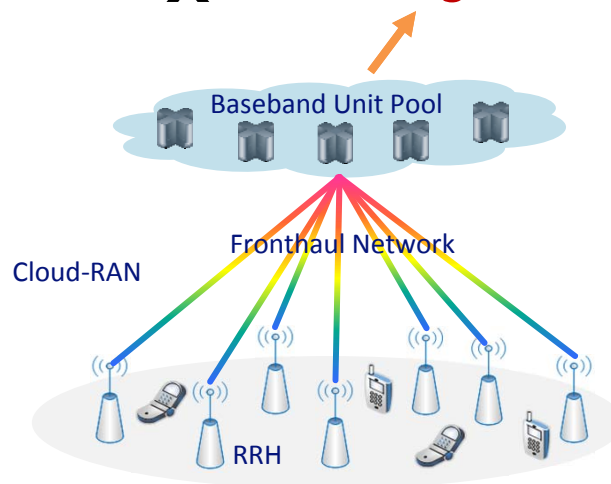


The 1000X Capacity Challenge

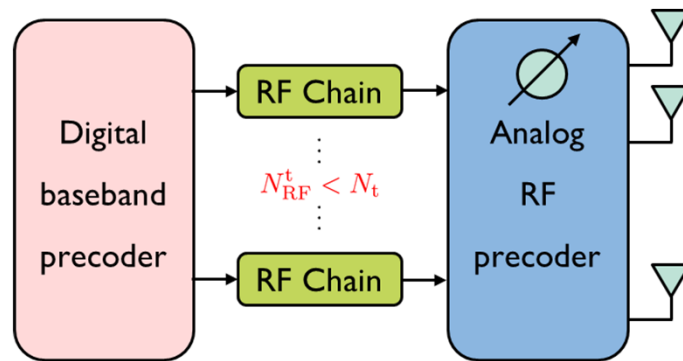


$$\text{Capacity} = \text{Bandwidth (Hz)} \times \text{Spectral Efficiency (bps/Hz)} \times \# \text{ Links}$$

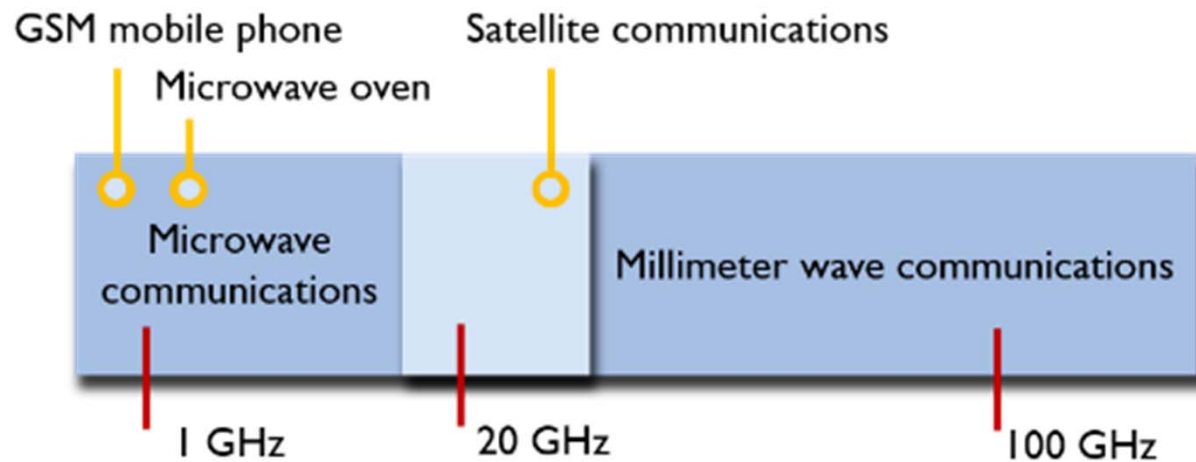
$$1000 = 10 \times 5 \times 20$$



Hybrid Beamforming for Millimeter-Wave Communications



Why mm-wave?



- Current cellular systems (microwave spectrum) ~ **600MHz**
- **Mm-wave spectrum ~ 29GHz**

5G = Millimeter-wave

At least to someone

Design Challenges

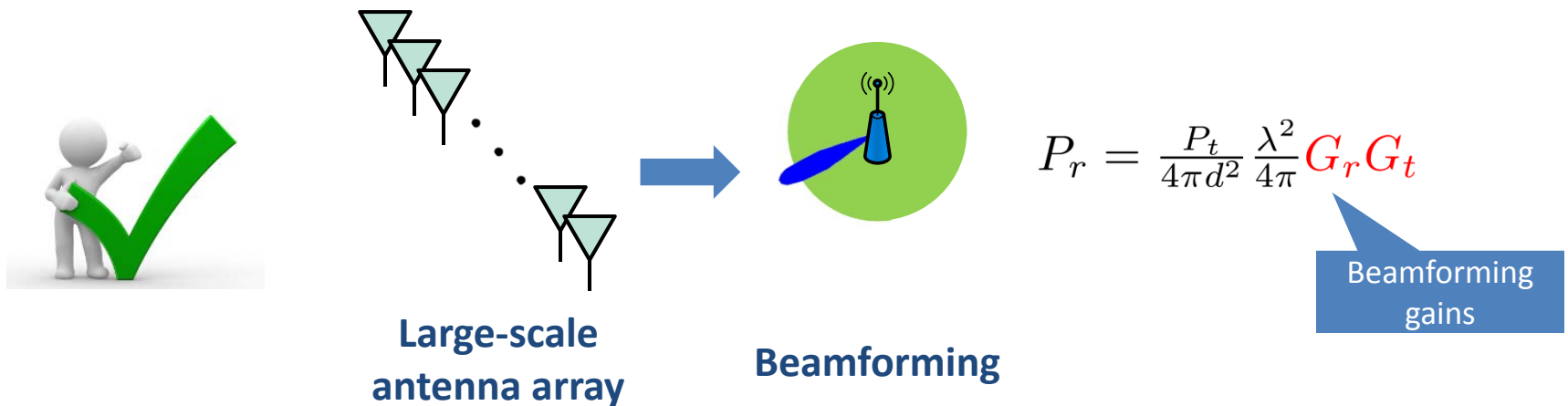
Data Rate = Spectral Efficiency \times Bandwidth

Challenge I

$$\text{Receive Power: } P_r = \frac{P_t}{4\pi d^2} \frac{\lambda^2}{4\pi}$$

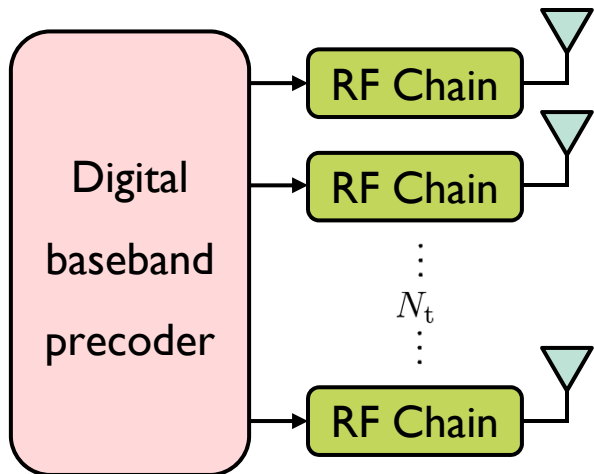
$$\text{Noise Power: } N_o = kT_e B$$

SNR



Design Challenges

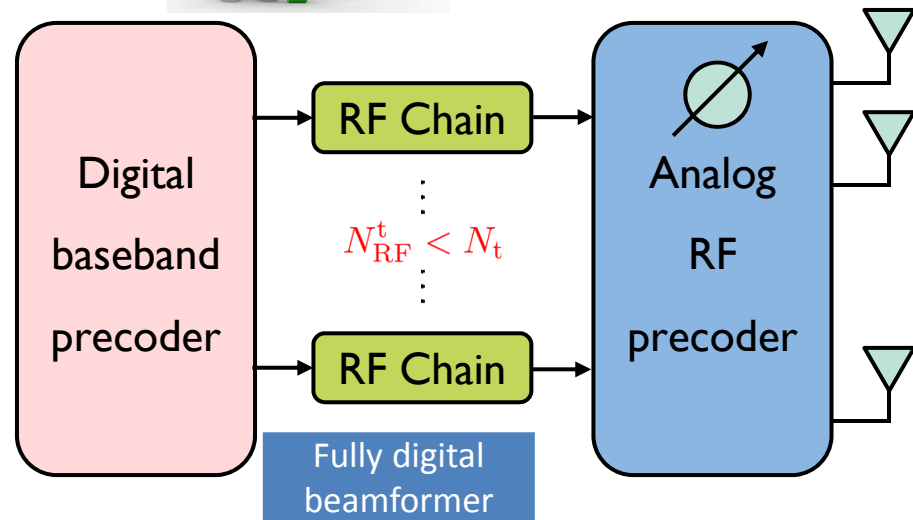
Fully digital beamformer



Challenge II



Hybrid beamformer



$$\begin{aligned} & \underset{\mathbf{F}_{\text{RF}}, \mathbf{F}_{\text{BB}}}{\text{minimize}} && \|\mathbf{F}_{\text{opt}} - \mathbf{F}_{\text{RF}}\mathbf{F}_{\text{BB}}\|_F^2 \\ & \text{subject to} && \|\mathbf{F}_{\text{RF}}\mathbf{F}_{\text{BB}}\|_F^2 \leq P_{\text{max}} \end{aligned}$$

Challenge III

$$\begin{aligned} & |(\mathbf{F}_{\text{RF}})_{i,j}| = 1, (i,j) \in \mathcal{A} \\ & \text{Non-convex, Large } N_t \end{aligned}$$

Main Design Objectives

- **High spectral efficiency**
 - Approach fully digital beamforming
- **High computational efficiency**
 - Overcome non-convexity, large problem sizes
- **High hardware efficiency**
 - With as few hardware components as possible

Spectral Efficiency: Manifold Optimization

➤ **Difficulty:** Analog beamformer with unit modulus constraints

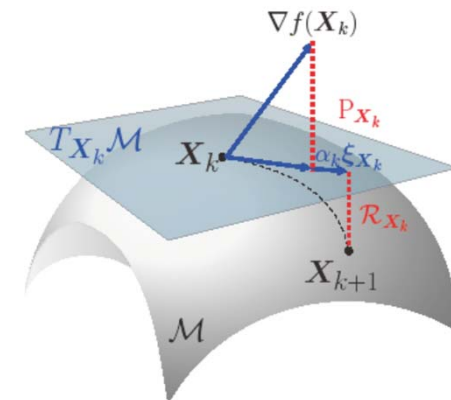
$$\begin{aligned} & \underset{\mathbf{F}_{\text{RF}}}{\text{minimize}} && \|\mathbf{F}_{\text{opt}} - \mathbf{F}_{\text{RF}}\mathbf{F}_{\text{BB}}\|_F^2 \\ & \text{subject to} && |(\mathbf{F}_{\text{RF}})_{i,j}| = 1, \forall i, j. \end{aligned}$$

Non-convex

But forms a complex circle manifold

Proposal

- Manifold optimization for analog beamformer
 - Optimization over **non-Euclidean geometry**
- Alternating minimization for analog/digital

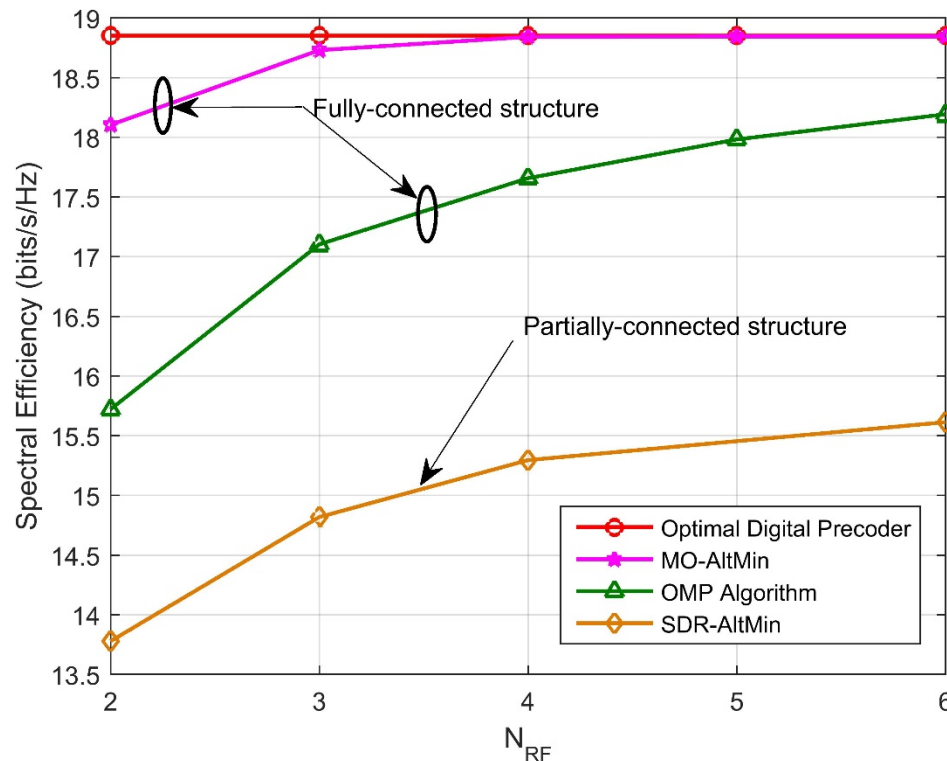


Spectral Efficiency: Manifold Optimization

❖ How many RF chains are needed?

data streams

$$N_t = 144, N_r = 36, N_{\text{RF}}^t = N_{\text{RF}}^r = N_{\text{RF}}, N_s = 2, \text{SNR} = 0 \text{ dB}$$



RF chains ~ # data streams
enough to approach the fully
digital beamformer

X. Yu, J.-C. Shen, **J. Zhang**, and K. B. Letaief, "Alternating minimization algorithms for hybrid precoding in millimeter wave MIMO systems," *IEEE J. Sel. Topics Signal Process.*, vol. 10, no. 3, pp. 485-500, Apr. 2016.

Computational Efficiency: Convex Relaxation

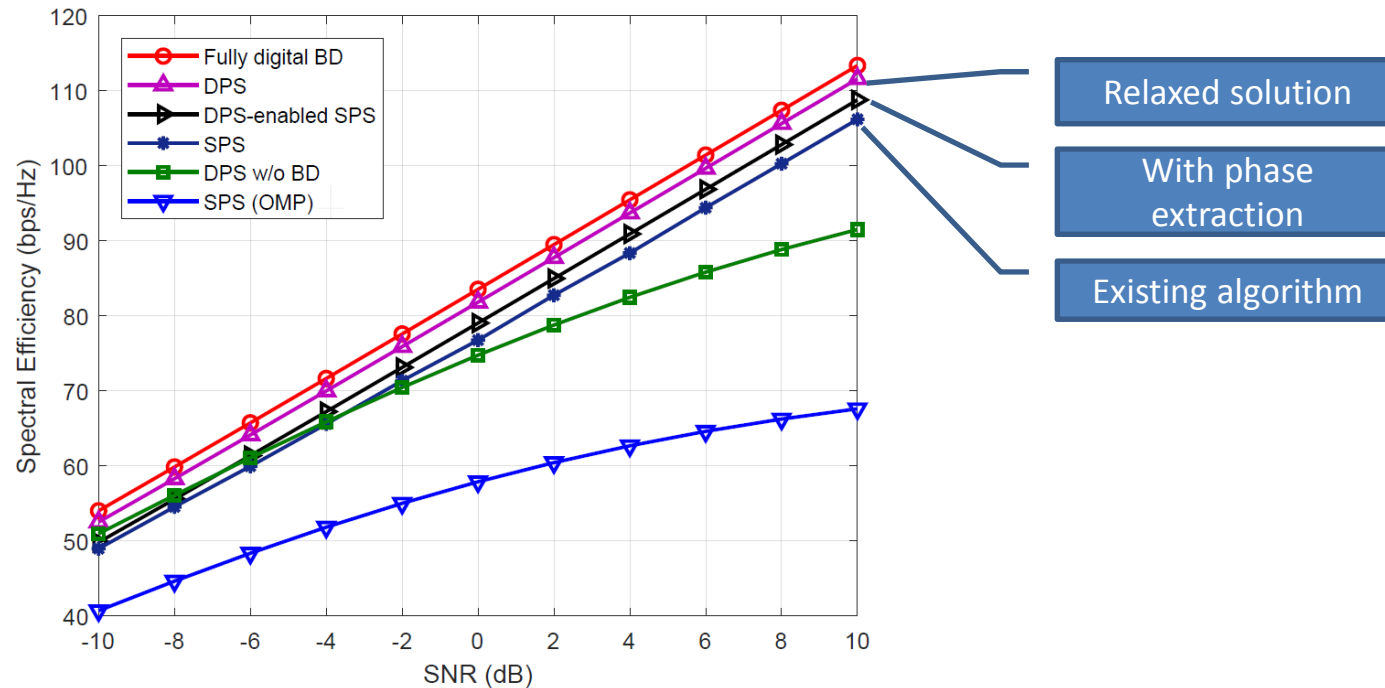
$$\begin{aligned} & \underset{\mathbf{F}_{\text{RF}}, \mathbf{F}_{\text{BB}}}{\text{minimize}} && \|\mathbf{F}_{\text{opt}} - \mathbf{F}_{\text{RF}}\mathbf{F}_{\text{BB}}\|_F^2 \\ & \text{subject to} && |(\mathbf{F}_{\text{RF}})_{i,j}| = 1 \end{aligned}$$



$$\begin{aligned} & \underset{\mathbf{F}_{\text{RF}}, \mathbf{F}_{\text{BB}}}{\text{minimize}} && \|\mathbf{F}_{\text{opt}} - \mathbf{F}_{\text{RF}}\mathbf{F}_{\text{BB}}\|_F^2 \\ & \text{subject to} && |(\mathbf{F}_{\text{RF}})_{i,j}| \leq 1 \end{aligned}$$

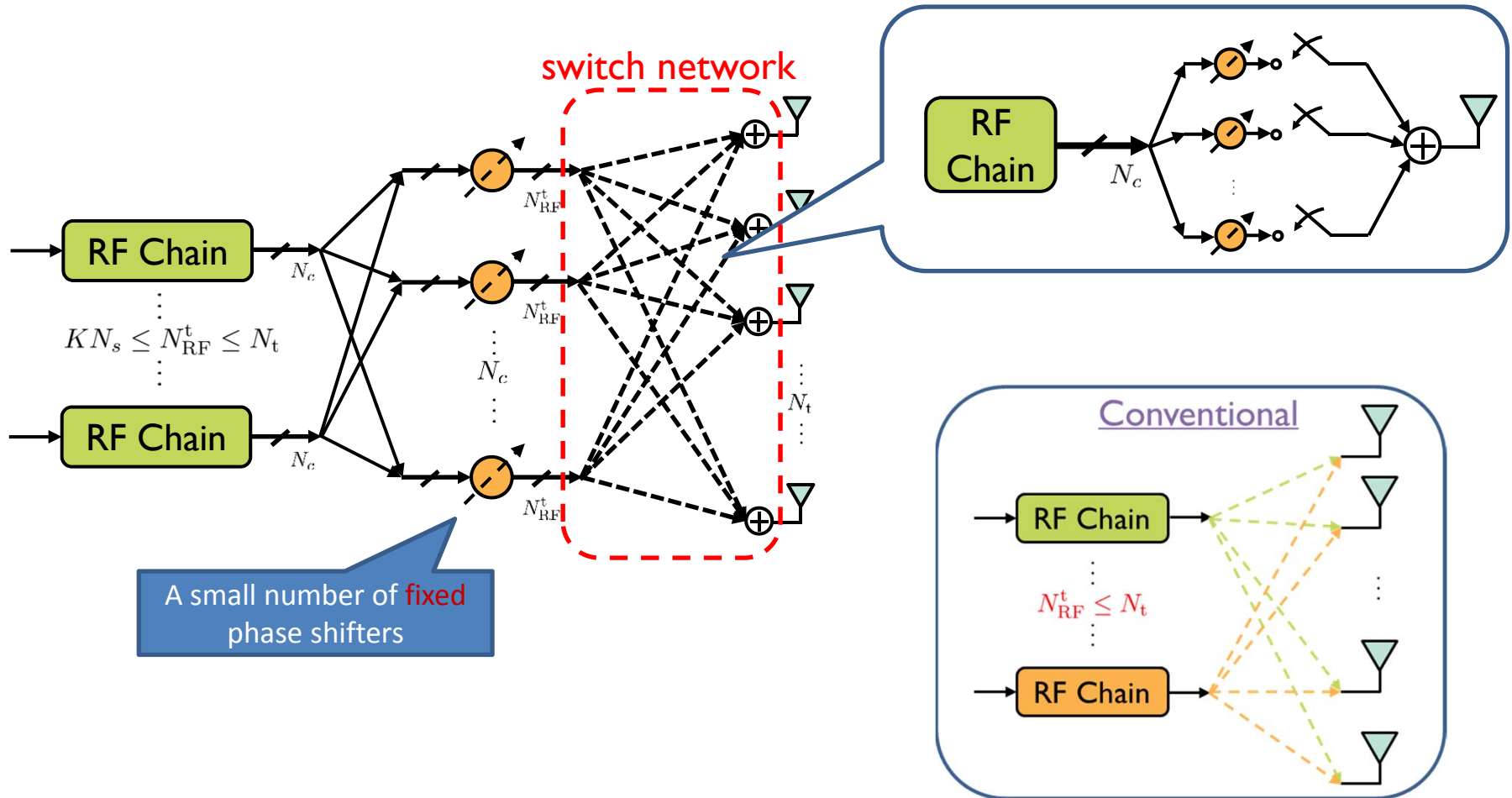
- Solution of relaxed problem: **SVD** ➤ Analog beamformer: **Phase extraction**

$N_t = 256$, $N_r = 16$, $K = 3$, $F = 128$, $N_s = 3$, $N_{\text{RF}}^t = 9$, and $N_{\text{RF}}^r = 3$



X. Yu, **J. Zhang**, and K. B. Letaief, "Alternating minimization for hybrid precoding in multiuser OFDM mmWave Systems," *IEEE Asilomar Conf. on Signals, Systems, and Computers*, Nov. 2016. (**Invited Paper**)

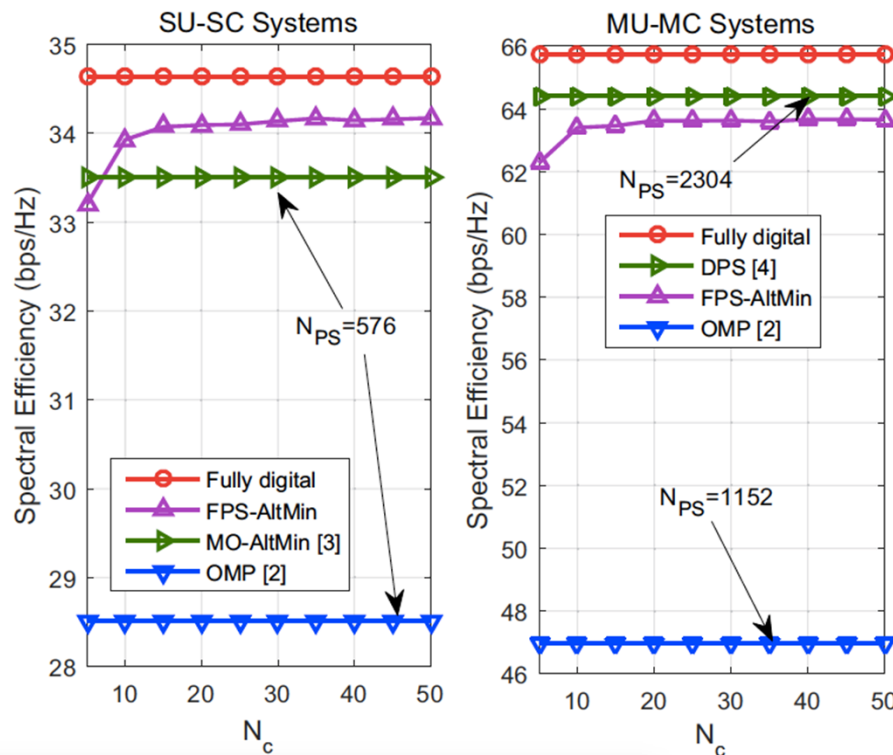
Hardware Efficiency: A New Analog Network



Hardware Efficiency: A New Analog Network

❖ How many phase shifters are needed?

$$N_t = 256, N_r = 16, K = 4, F = 128, N_s = 2, N_{\text{RF}}^t = 8, \text{ and } N_{\text{RF}}^r = 2$$

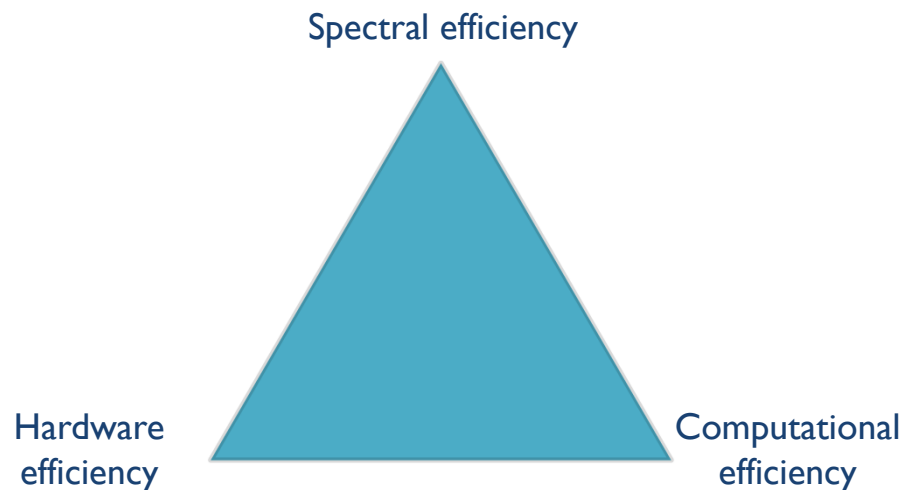


Only ~15 fixed phase shifters are sufficient!

X. Yu, **J. Zhang**, and K. B. Letaief, "Hybrid precoding in millimeter wave systems: How many phase shifters are needed?" in *Proc. IEEE Globecom*, Singapore, Dec. 2017. **(Best Paper Award)**

Conclusions

- A new design aspect
 - **Hardware-aware communications**
- An effective design approach
 - **Hardware-algorithm co-design**
- Three key design aspects



Future Direction I

- Mm-wave with low-precision ADCs

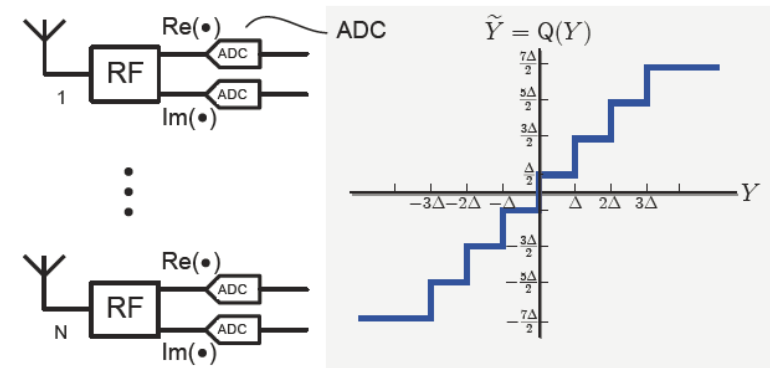
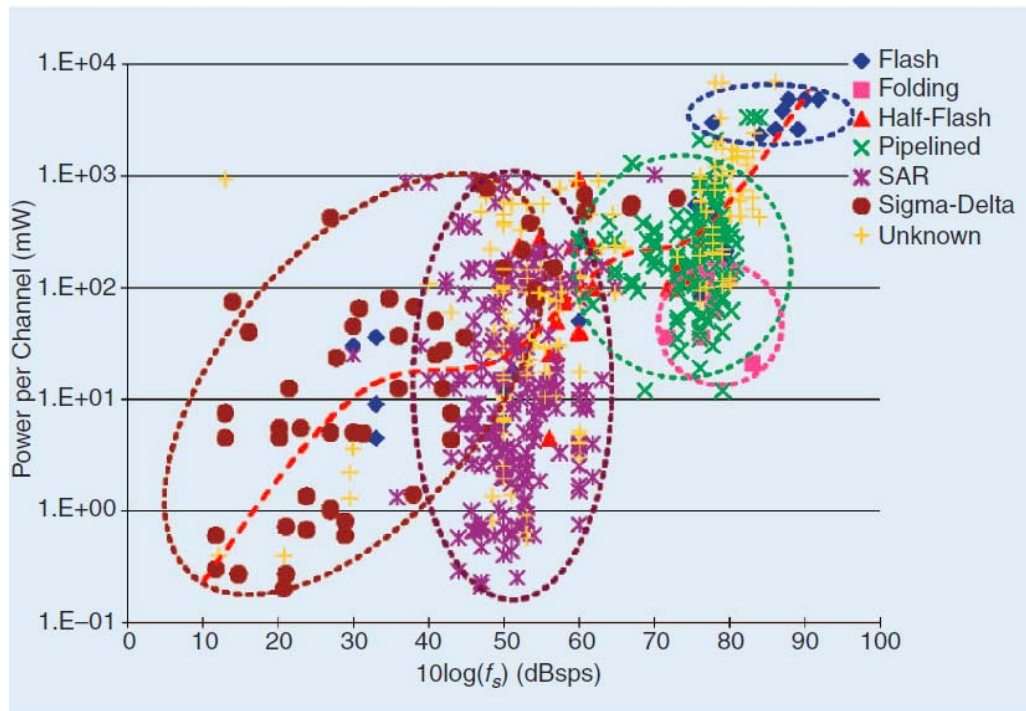
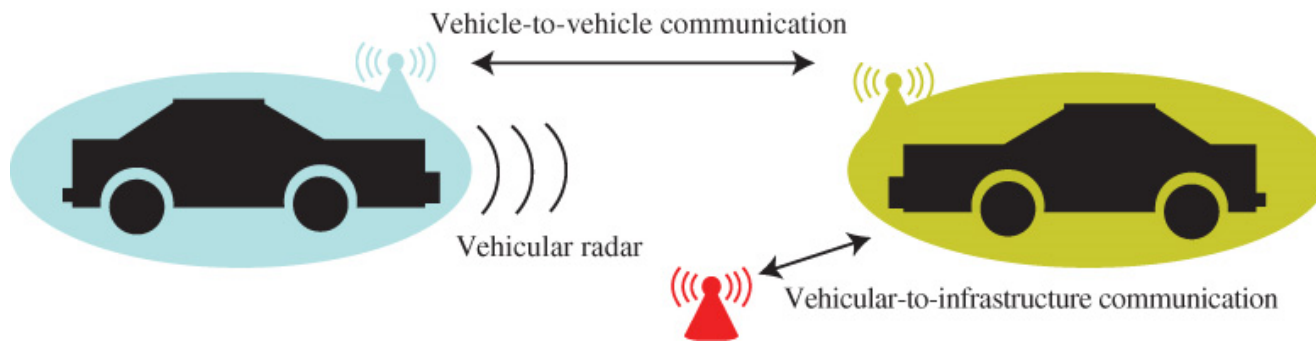


Fig. 1. The MIMO system with low precision ADCs.

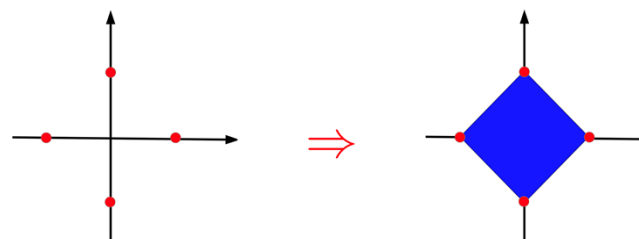
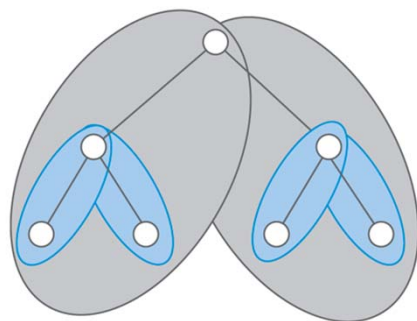
Future Direction II

- Joint mm-wave communication-radar for connected vehicles



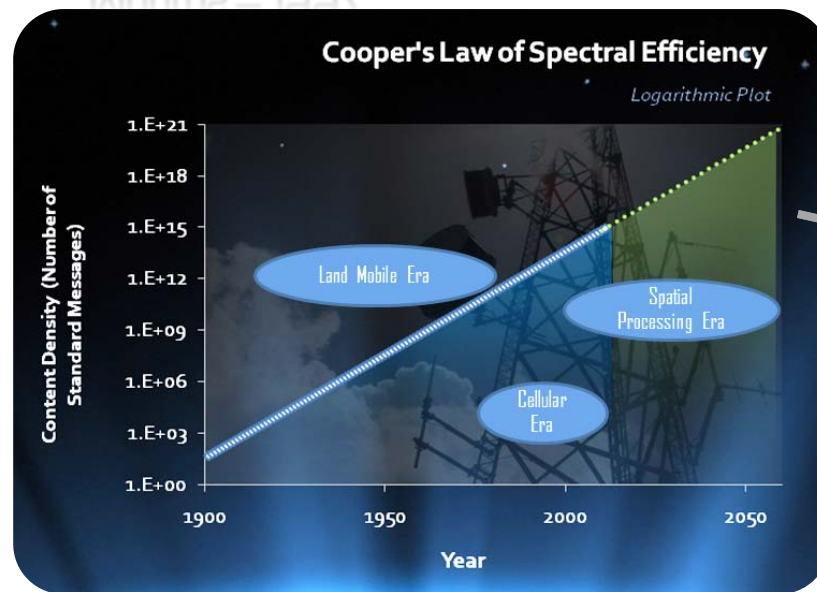
- **Radar:** Continuous automatic detection/ranging, even for non-communication-enabled users
- **V2V:** Collaborative communication for real-time cooperative detection and ranging

Structured Optimization for Cooperative DenseNets

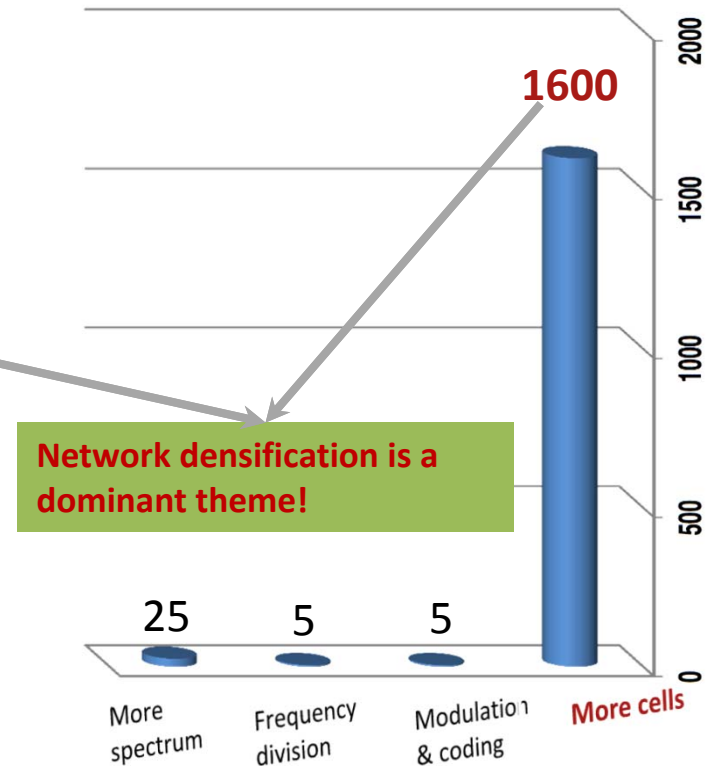


Network Densification: The Dominant Theme for 5G

Marty Pens Cooper's Law: Data Over Usable Spectrum Doubles Every 30 Months – 1997



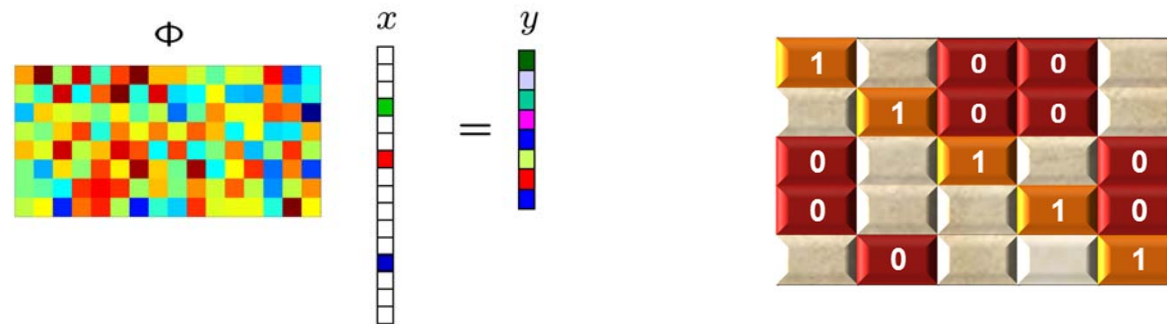
Factor of Capacity Increase since 1950



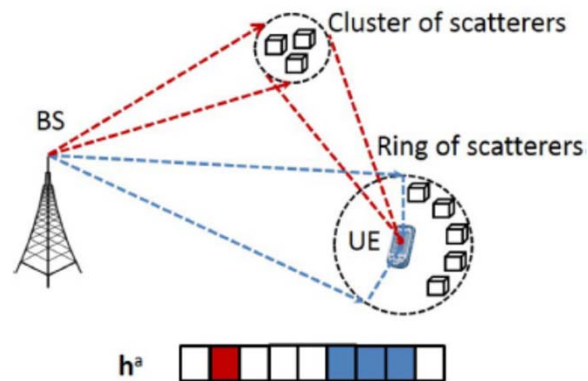
Cooperation is critical for interference management and resource allocation in wireless dense networks (DenseNets)

Structured Optimization/Estimation

- Previous successes



- Emerging applications in wireless networks

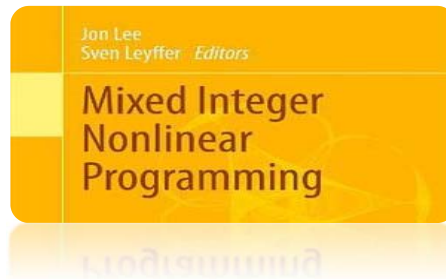


Case Study: Network Power Minimization in Cloud-RAN

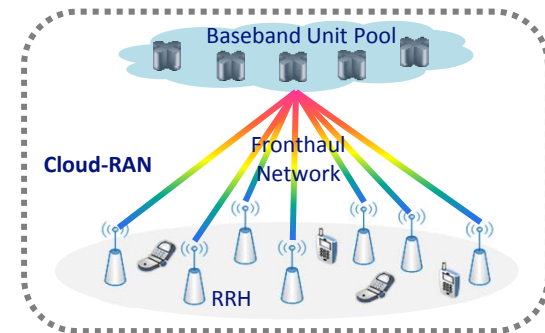
- **Problem Formulation**

$$\underset{\mathbf{v} \in \mathcal{C}}{\text{minimize}} \underbrace{\sum_{l=1}^L P_l^c I(\text{Supp}(\mathbf{v}) \cap \mathcal{G}_l \neq \emptyset)}_{\text{fronthaul power}} + \underbrace{\sum_{l=1}^L \sum_{k=1}^K \frac{1}{\eta_l} \|\mathbf{v}_{lk}\|_2^2}_{\text{transmit power}}$$

NP-hard



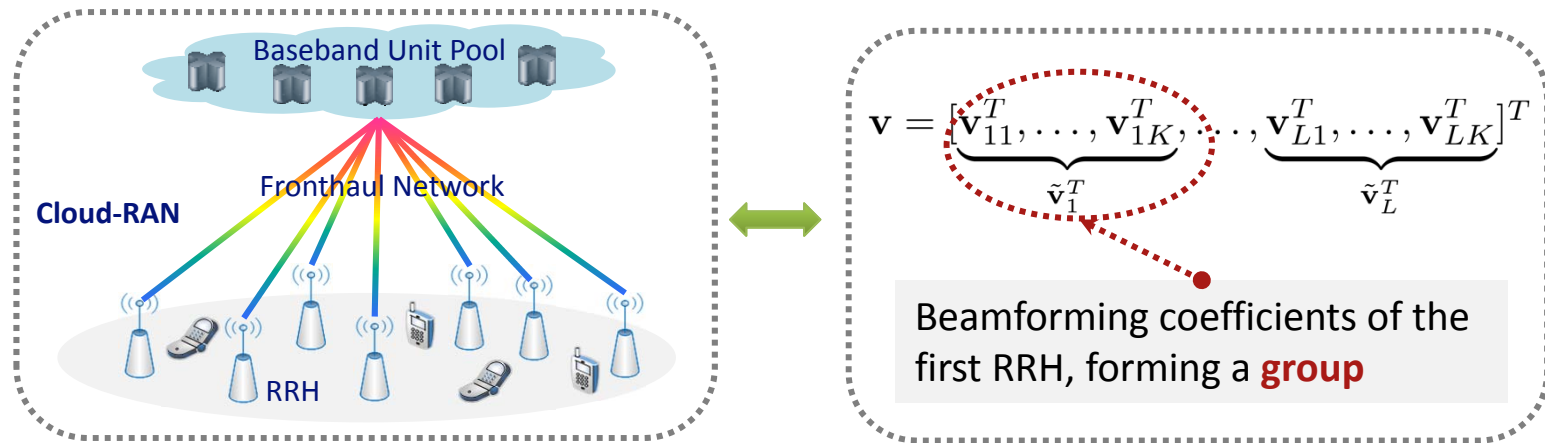
Large Scale



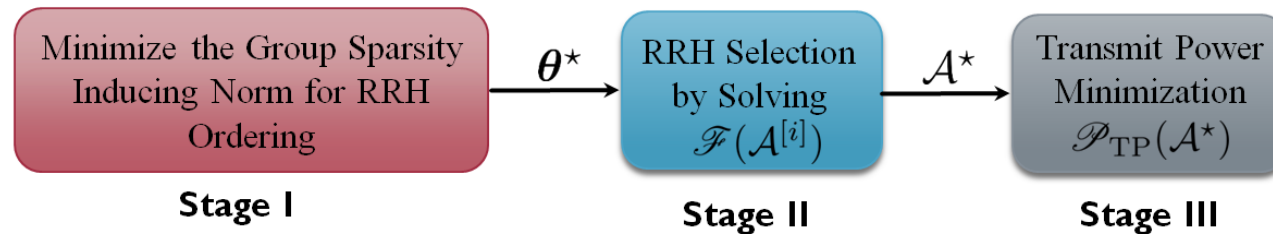
- **Prior works: *Heuristic or computationally expensive*** [Philipp, et. al, TSP 13], [Luo, et. al, JSAC 13], [Quek, et. al, TWC 13]

Innovation I: Group Sparse Beamforming

- **Proposal:** Identify solution structures



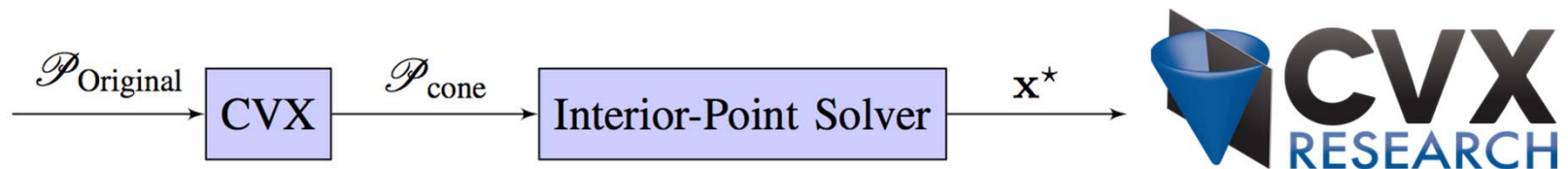
- Switch off the l -th RRH $\tilde{\mathbf{v}}_l = \mathbf{0}$, i.e., **group sparsity structure** in \mathbf{v}



Computational Challenge

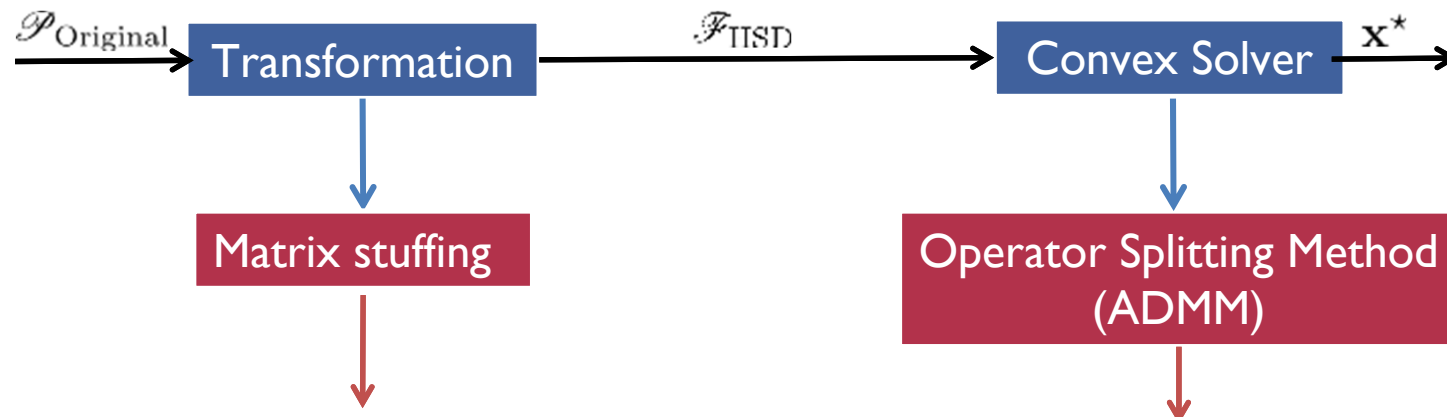
- Prior works: Mainly focus on small-size networks
- Unique challenges in dense Cloud-RANs
 - 1) High dimension; 2) Large number of constraints; 3) complicated structures

- **Existing approach:** Disciplined convex programming framework [Grant & Boyd '08]



- General purpose, inefficient

Innovation II: A Two-stage Optimization Framework

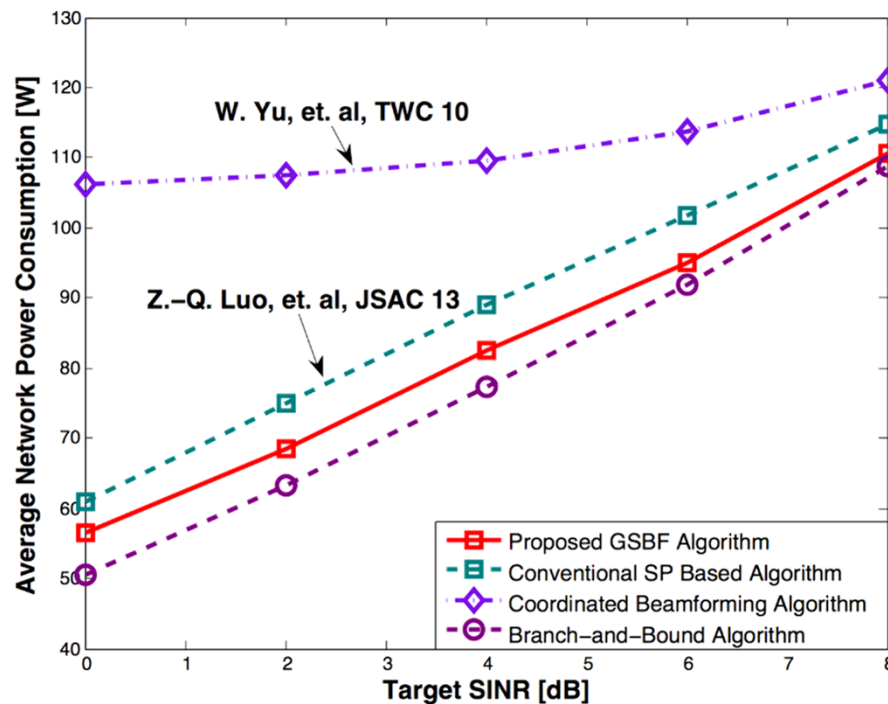


- Given a network, generate and keep a homogeneous self-dual (HSD) embedding form structure.
- Only **copy** the problem data to the HSD embedding data.
- Avoid repeated transforming problems.

- Each iteration can be solved with **closed-form** and **in parallel**.
- Utilize **cloud computing environment** in the BBU pool **with computational resources sharing** among BBUs.

The Power of Group Sparse Beamforming

- Group sparse beamforming (10 RRHs, 15 MUs)



Further improvement

- Sparsity enhancement [JSAC 2016];
- Random matrix approach [TWC 2018]

Y. Shi, **J. Zhang**, and K. B. Letaief, "Group sparse beamforming for green Cloud-RAN," *IEEE Trans. Wireless Commun.*, vol. 13, no. 5, pp. 2809-2823, May 2014. 2014. **(The 2016 Marconi Prize Paper Award)**

The Efficacy of Large-scale Optimization

- **Example:** Power minimization coordinated beamforming problem

Network Size ($L=K$)		20	50	100	150
CVX+SDPT3	Modeling Time [sec]	0.7563	4.4301	N/A	N/A
	Solving Time [sec]	4.2835	326.2513	N/A	N/A
	Objective [W]	12.2488	6.5216	N/A	N/A
Matrix Stuffing+ADMM	Modeling Time [sec]	0.0128	0.2401	2.4154	9.4167
	Solving Time [sec]	0.1009	2.4821	23.8088	81.0023
	Objective [W]	12.2523	6.5193	3.1296	2.0689

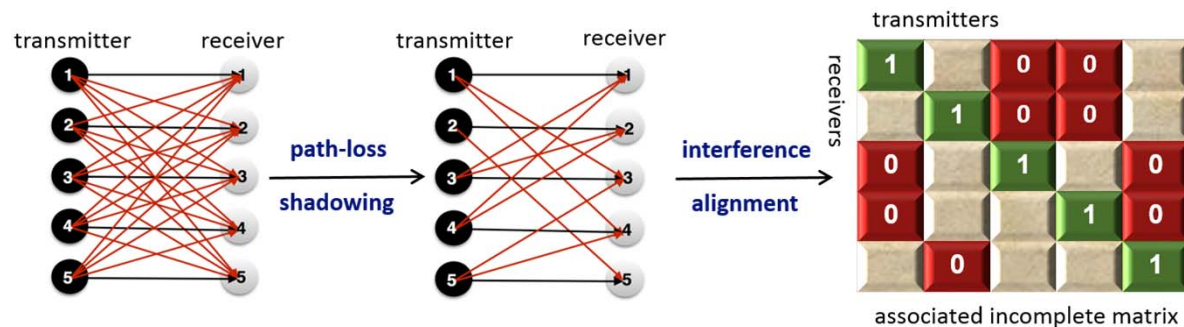
Matrix stuffing can speedup **60x** over CVX

ADMM can speedup **130x** over the interior-point method

Y. Shi, **J. Zhang**, B. O'Donoghue, and K. B. Letaief, "Large-scale convex optimization for dense wireless cooperative networks," *IEEE Trans. Signal Process.*, vol. 63, no. 18, pp. 4729-4743, Sept. 2015. **(The 2016 IEEE Signal Processing Society Young Author Best Paper Award)**

Conclusions

- **Structured optimization** is a powerful tool for designing cooperative DenseNets
- **Large-scale convex optimization algorithms** are necessary
- Other applications
 - **Sparse estimation** for channel information acquisition
 - J.-C. Shen, **J. Zhang**, et. al, "Compressed CSI acquisition in FDD massive MIMO: How much training is needed?" IEEE Trans. Wireless Commun., 2016.
 - **Low-rank matrix completion** for topological interference management
 - Y. Shi, **J. Zhang**, and K. B. Letaief, "Low-rank matrix completion for topological interference management by Riemannian pursuit," IEEE Trans. Wireless Commun., 2016.



Future Direction I

- Massive connectivity for IoT applications

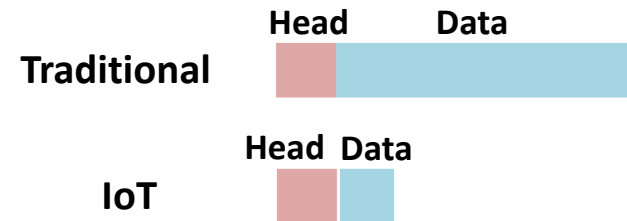
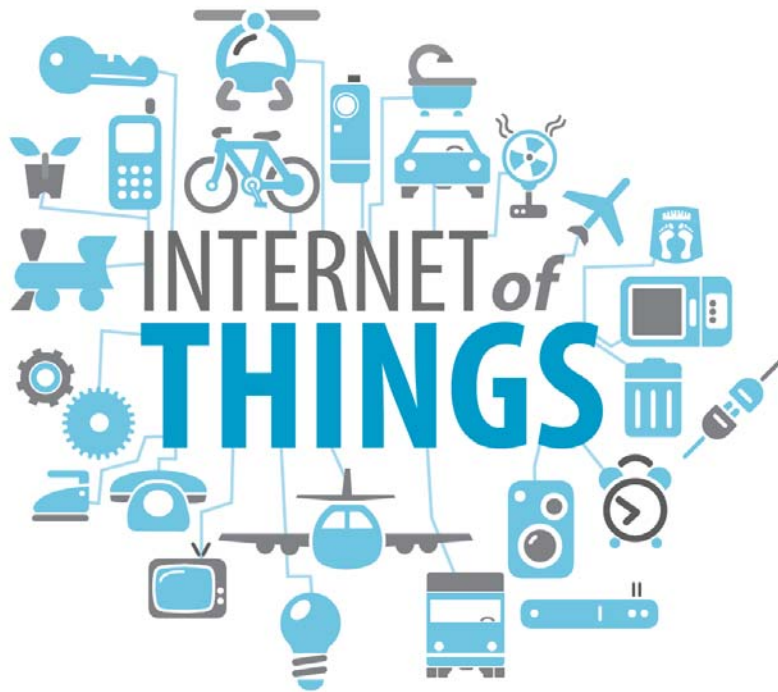


Sporadic traffic: only a small fraction of potentially large number of devices are active

Sparse estimation for user activity detection and channel estimation

Future Direction II

- Overhead-free communications for IoT



Overhead-free communication

$$y = \sum_{i=1}^K h_i * x_i + w$$

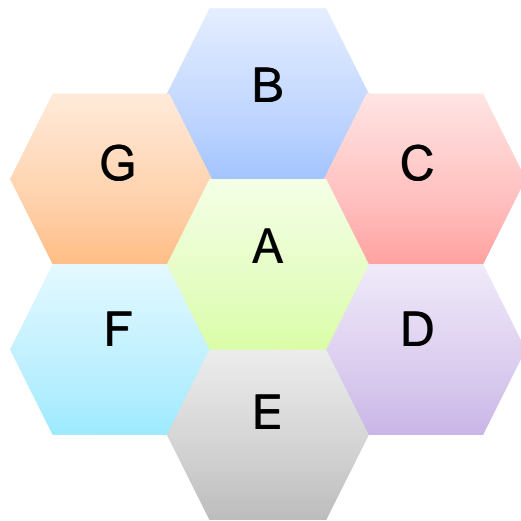
Target: Simultaneous channel estimation and signal detection via blind deconvolution/demixing

Large-scale Network Analysis via Stochastic Geometry

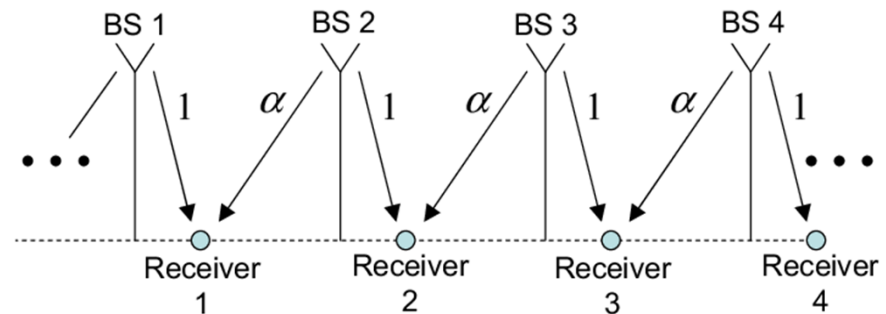


Traditional Approaches for Network Analysis

➤ Grid model



➤ Wyner model

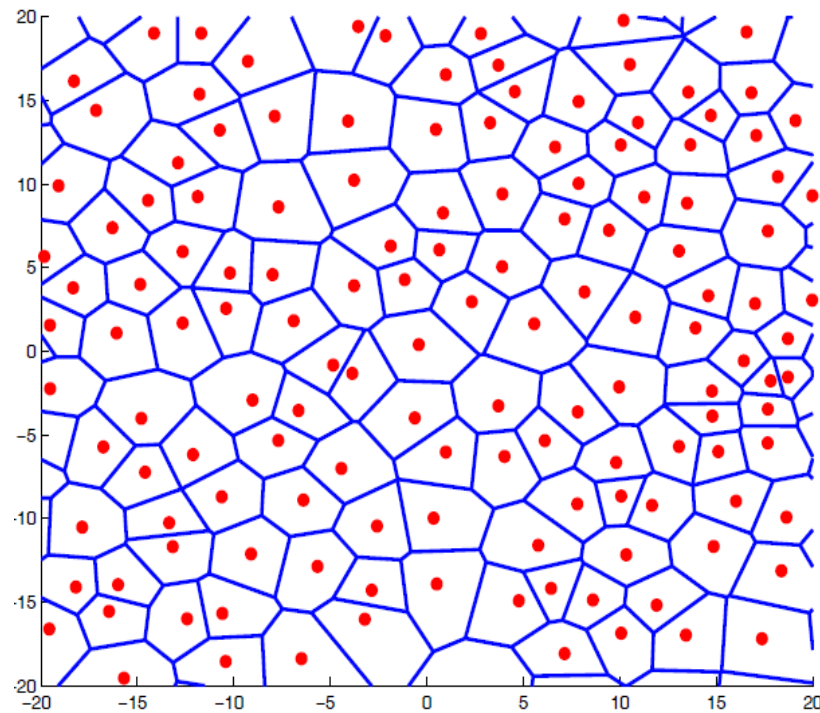


➤ Either less accurate or weakens the analytical tractability

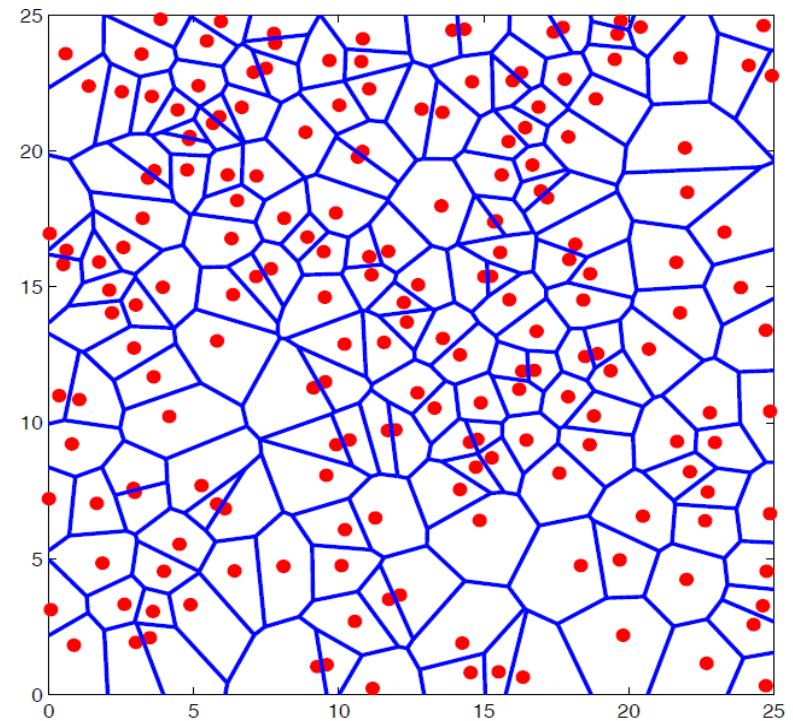
J. Xu, **J. Zhang**, and J. G. Andrews, "On the accuracy of the Wyner model in cellular networks," *IEEE Trans. Wireless Commun.*, vol. 10, no. 9, pp. 3098-3109, Sept. 2011.

Stochastic Geometry for Wireless Networks

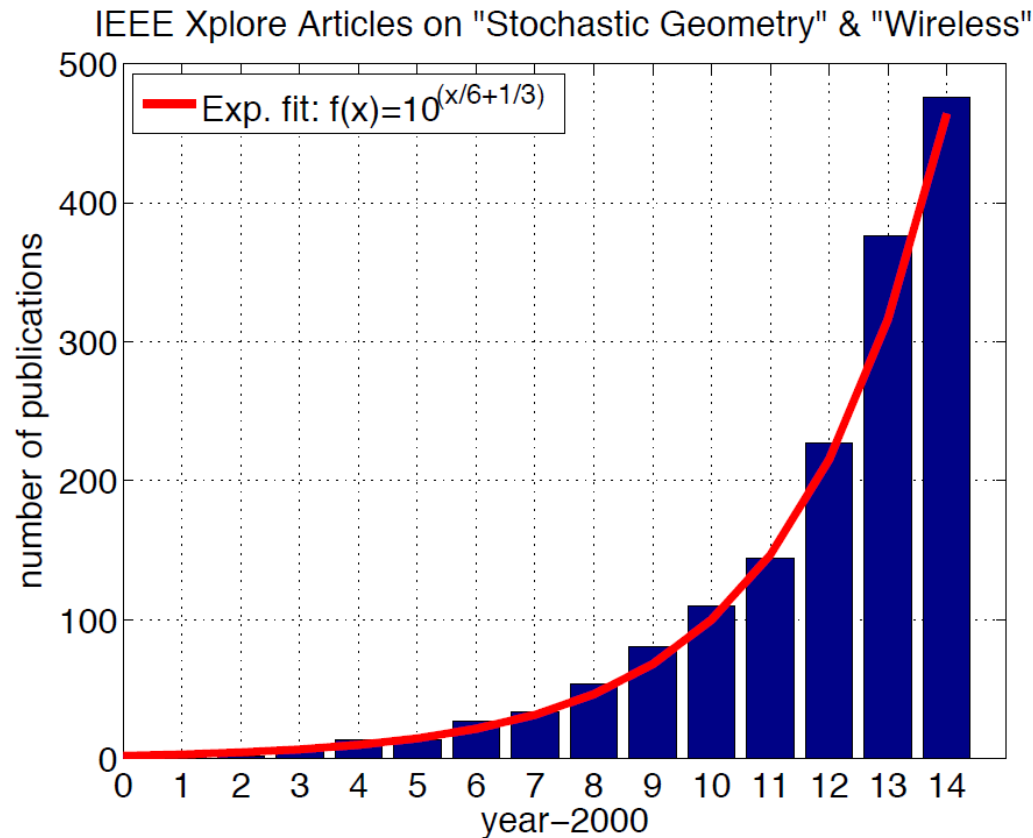
Base station locations in a 4G network



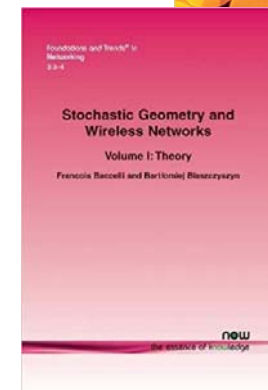
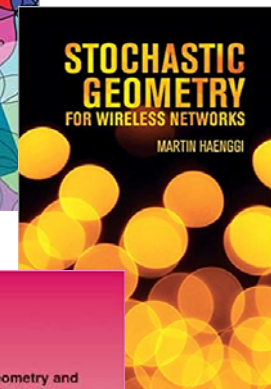
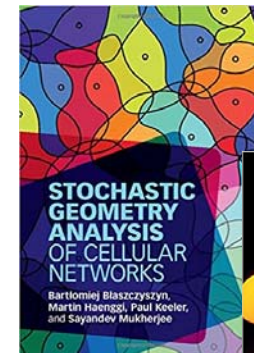
Poisson distributed base stations



Stochastic Geometry for Wireless Networks



From Martin Haenggi



Why Random Spatial Network Models?

- **Advantages**

- Avoid building and running system-level simulations
- Quickly evaluate different PHY/MAC techniques
- Expose salient network properties

- **Limitations of existing studies**

- Tractable results mainly for **single-antenna networks**
- Highly complicated expressions for **multi-antenna networks**

Essential for 5G

- **A sample result:**

$$p_c(\tau) = \lambda \sum_{n=0}^{M-1} \frac{1}{n!} \sum_{p=0}^n B_{n,p}(x_1, \dots, x_{n-p+1}) \frac{p!(2\tau^\delta)^p}{(\lambda\tau^\delta\mathcal{C} + \lambda)^{p+1}}$$

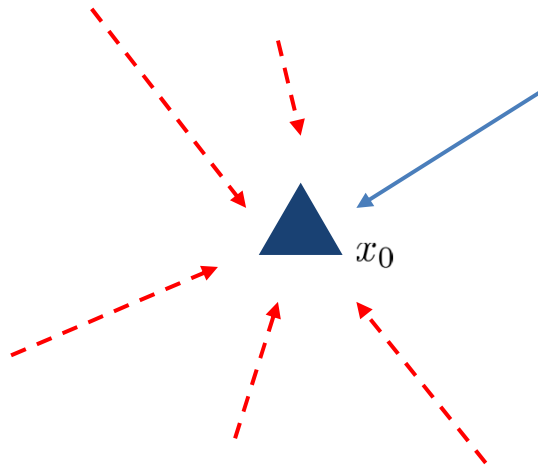
where

Need a more tractable approach for characterizing multi-antenna 5G networks!

$B_{n,p}(x_1, \dots, x_{n-p+1})$ is the incomplete exponential Bell polynomials, and the $B'(a, b, c)$ is the complementary in complete Beta function.

Network Model

—→ signal
- - - → interference



➤ Transmitters: a **Poisson Point Process**

➤ Desired signal: $S = P_t g_{x_0} r_0^{-\alpha}$

➤ Interference power:

$$I = \sum_{x \in \Phi'} P_t g_x \|x\|^{-\alpha}$$

$$\text{SINR} = \frac{g_{x_0} r_0^{-\alpha}}{\sigma_n^2 + \sum_{x \in \Phi'} g_x \|x\|^{-\alpha}}$$

Coverage Probability: $p_c(\tau) = \mathbb{P}[\text{SINR} > \tau]$

Our Result – A General Matrix Representation

$$p_c(\tau) = \mathbb{E}_{r_0} [\|e^{\mathbf{T}_M}\|_1] \quad \mathbf{T}_M = \begin{bmatrix} t_0 & & & & \\ t_1 & t_0 & & & \\ t_2 & t_1 & t_0 & & \\ \vdots & & & \ddots & \\ t_{M-1} & \cdots & t_2 & t_1 & t_0 \end{bmatrix}$$

Toeplitz matrix

$$t_k = \frac{(-s)^k}{k!} \eta^{(k)}(s) \quad \eta(s) = \log \mathcal{L}(s) \text{ (Log-Laplace transform)} \quad \|\mathbf{A}\|_1 = \max_{1 \leq j \leq n} \sum_{i=1}^m |a_{ij}|$$

- A general framework for multi-antenna networks
 - A *compact expression* for numerical evaluation
 - *Closed-form expressions* for many cases
- Further analysis via properties of \mathbf{I}_1 -Toeplitz matrices
 - Investigate effects of *densification/antenna size*

Applications

- **Area spectral efficiency and energy efficiency analysis**

- C. Li, **J. Zhang**, and K. B. Letaief, “Throughput and energy efficiency analysis of small cell networks with multi-antenna base stations,” *IEEE Trans. Wireless Commun.*, vol. 13, no. 5, pp. 2502-2517, May 2014.

- **Millimeter-wave network analysis**

- X. Yu, **J. Zhang**, M. Haenggi, and K. B. Letaief, “Coverage analysis for millimeter wave networks: The impact of directional antenna arrays,” *IEEE J. Select. Areas Commun.*, vol. 35, no. 7, pp. 1498-1512, Jul. 2017.

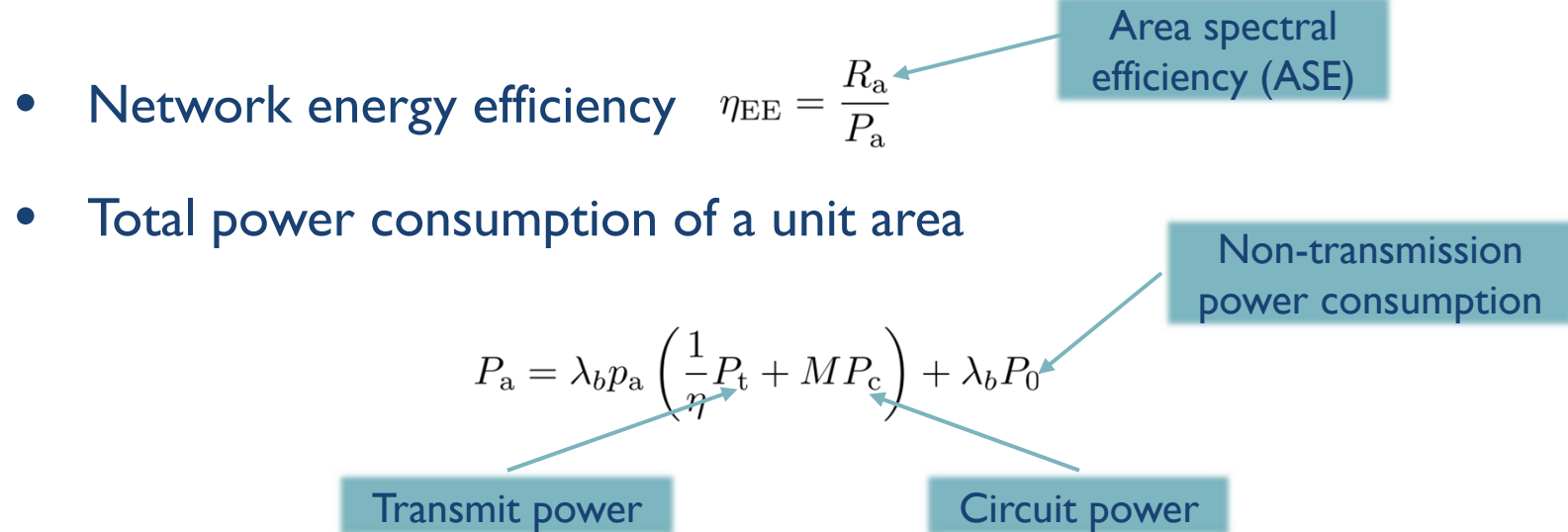
- **Optimize success probability vs link reliability tradeoff**

- C. Li, **J. Zhang**, J. G. Andrews, and K. B. Letaief, “Success probability and area spectral efficiency in multiuser MIMO HetNets,” *IEEE Trans. Commun.*, vol. 64, no. 4, pp. 1544-1556, Apr. 2016.

- **Develop interference management techniques**

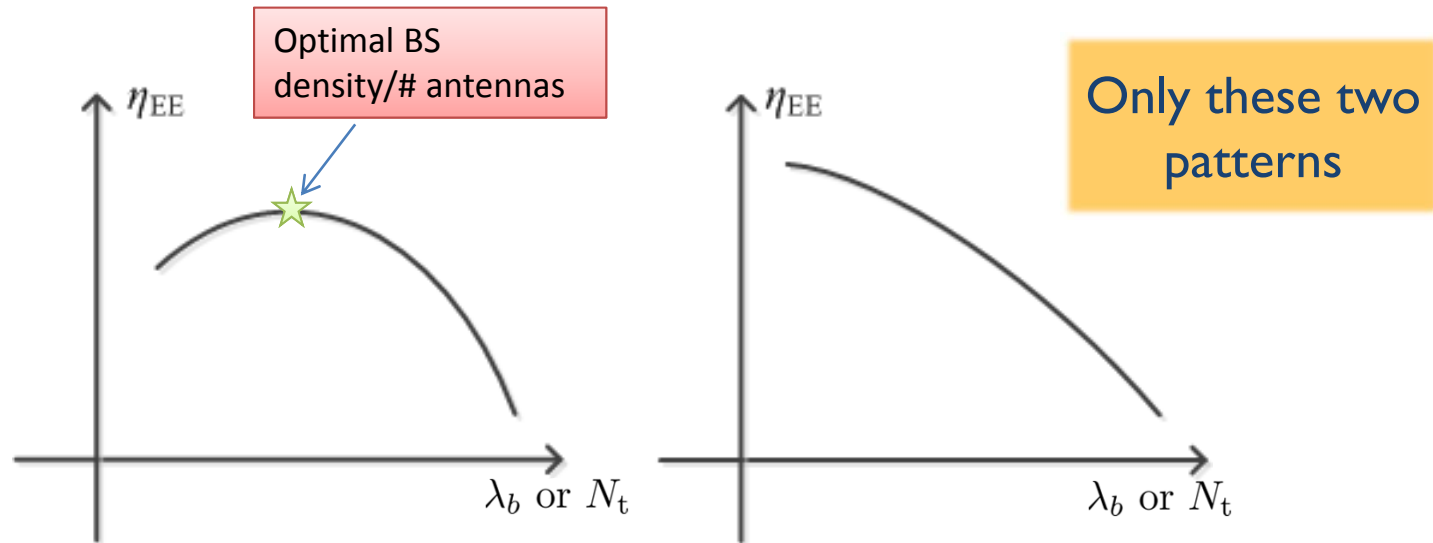
- C. Li, **J. Zhang**, M. Haenggi, and K. B. Letaief, “User-centric intercell interference nulling for downlink small cell networks,” *IEEE Trans. Commun.*, vol. 63, no. 4, pp. 1419-1431, Apr. 2015.

Case Study: Energy Efficiency

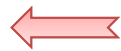


- ASE increases when deploying more BSs
- Power consumption will also increase
- How will the energy efficiency change with network densification?
- How will the energy efficiency change with # of BS antennas?

Case Study: Energy Efficiency

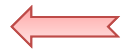


Effect of densification



Non-transmission power consumption

Effect of more antennas



Circuit power consumption

Conclusions

- A unified analytical framework for **tractable analysis** of large-scale multi-antenna networks
- Abundant applications in wireless networks
 - Coverage/outage, ASE, EE ...
 - Small cells, HetNets, mm-wave ...
 - ASE vs link reliability tradeoff
 - Interference coordination
 - PHY-layer security

Future Direction: New Scenarios

- **UAVs**: 3D coverage, different propagation properties



Flying cameras



Delivery



Public safety



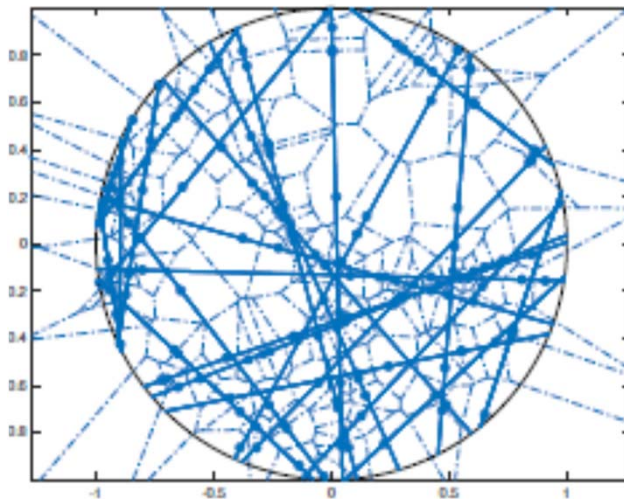
Agricultural



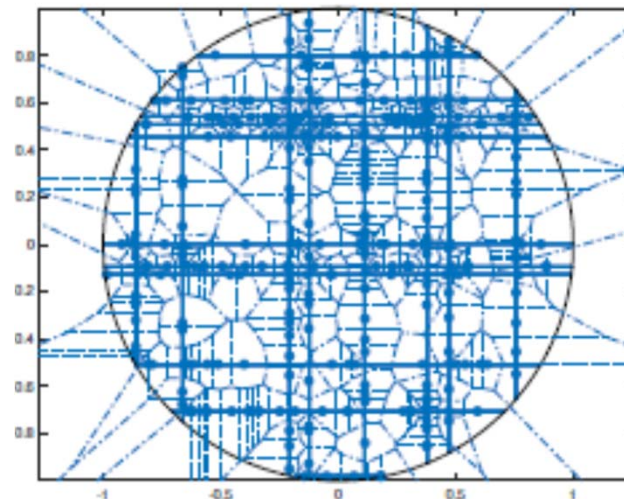
Inspection

- **V2X**: mobility, spatial modeling of roads

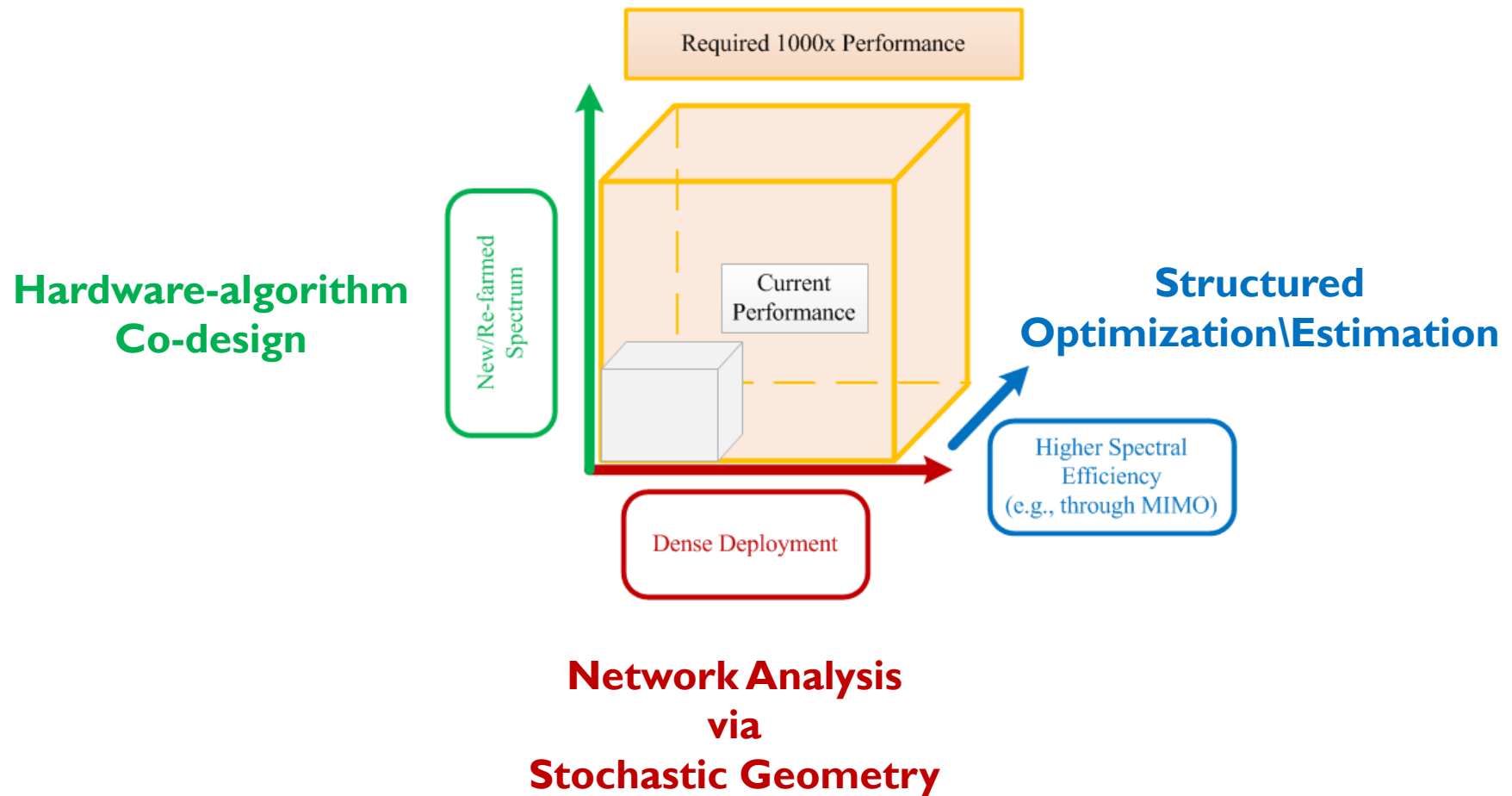
Isotropic Poisson line process



Manhattan Poisson line process

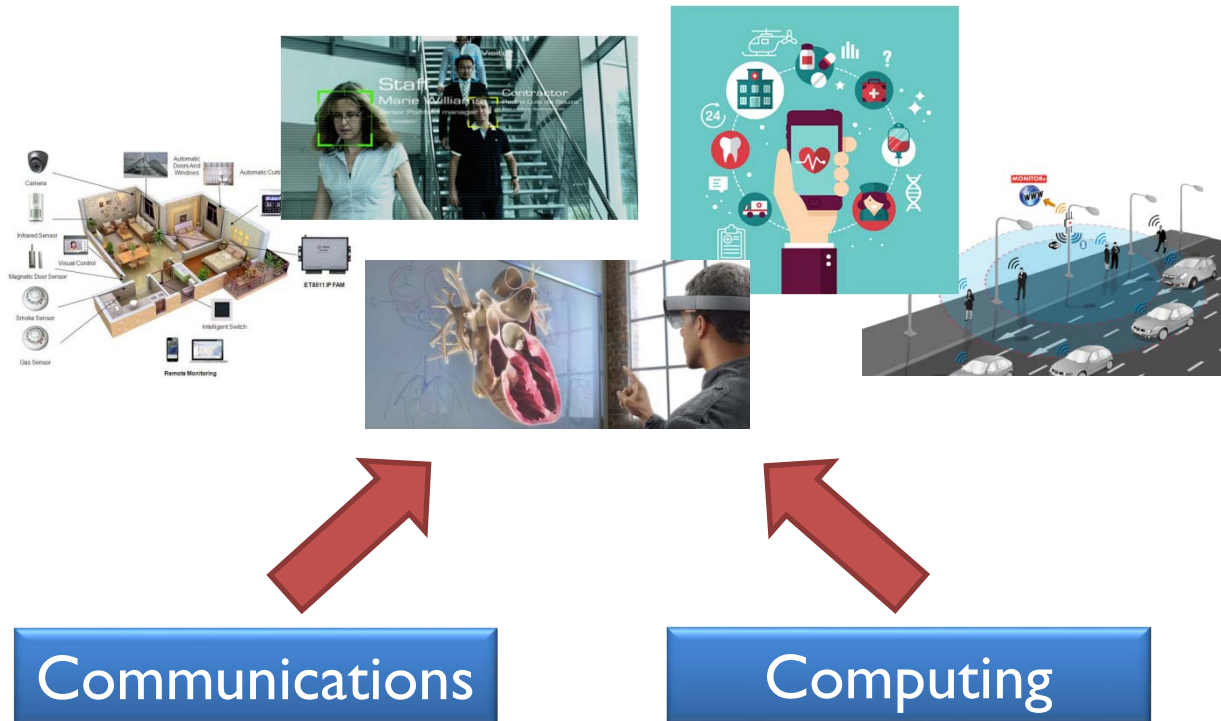


Takeaways



Additional Remarks

The Era of Mobile Intelligence



C. E. Shannon
(1916—2001)



A. Turing
(1912—1954)



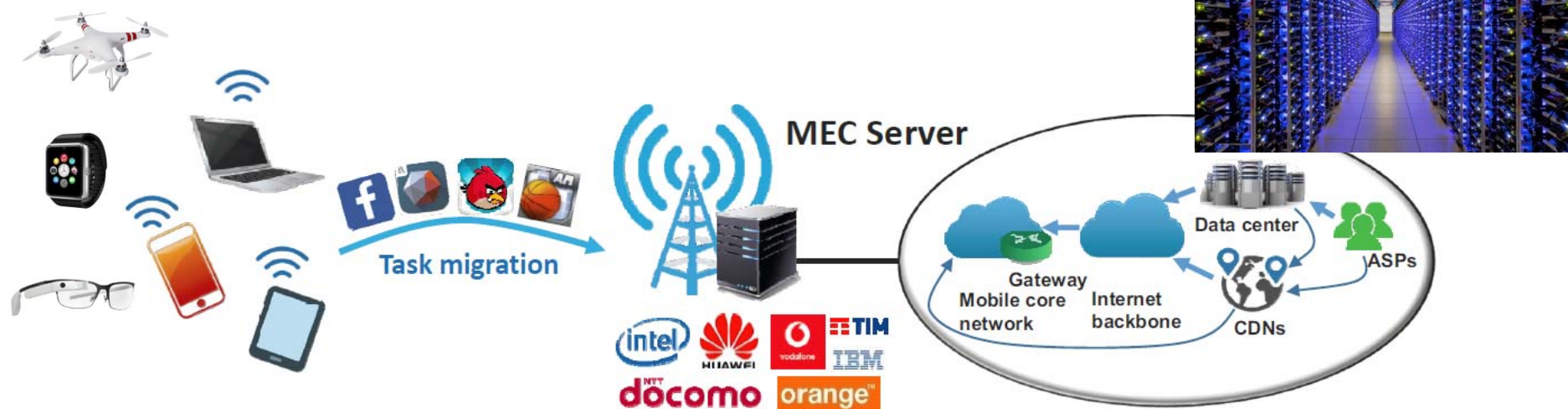
To Meet the Computation Demand

– A 3-Layer Approach

Cloud Computing

Mobile Edge Computing

On-Device Computing



Distributed Computing Systems

- **Mobile Edge Computing**

- Y. Mao, C. You, **J. Zhang**, K. Huang, and K. B. Letaief, "A survey on mobile edge computing: The communication perspective," *IEEE Commun. Surveys Tuts.*, 2017.
- Y. Mao, **J. Zhang**, S.H. Song, and K. B. Letaief, "Stochastic joint radio and computational resource management for multi-user mobile-edge computing systems," *IEEE Trans. Wireless Commun.*, vol. 16, no. 9, pp. 5994-6009, Sept. 2017.
- Y. Mao, **J. Zhang**, and K. B. Letaief, "Dynamic computation offloading for mobile-edge computing with energy harvesting devices," *IEEE J. Select. Areas Commun. - Series on Green Commun. and Networking*, vol. 34, no. 12, pp. 3590-3605, Dec. 2016.

- **Cloud Computing**

- Y. Yu, R. Huang, W. Wang, **J. Zhang**, and K. B. Letaief, "SP-Cache: Load-balanced, Redundancy-free Cluster Caching with Selective Partition," *SC18*, Dallas, TX, November, 2018. (**Acceptance Rate: 19%**)
- Y. Yu, W. Wang, **J. Zhang**, Q. Weng, and K. B. Letaief, "OpuS: Fair and efficient cache sharing for in-memory data analytics," *ICDCS 2018*. (**Acceptance Rate: 20%**)
- Y. Yu, W. Wang, **J. Zhang**, and K. B. Letaief, "LRC: Dependency-aware cache management in data analytics clusters," *IEEE INFOCOM 2017*. (**Acceptance Rate: 21%**)

Wireless Edge Caching

- R. Wang, **J. Zhang**, S.H. Song, and K. B. Letaief, "Exploiting mobility in cache-assisted D2D networks: Performance analysis and optimization," *IEEE Trans. Wireless Commun.*, to appear.
- X. Peng, Y. Shi, **J. Zhang**, and K. B. Letaief, "Layered group sparse beamforming for cache-enabled green wireless networks," *IEEE Trans. Commun.*, vol. 65, no. 12, pp. 5589-5603, Dec. 2017.
- R. Wang, **J. Zhang**, S.H. Song, and K. B. Letaief, "Mobility-aware caching in D2D networks," *IEEE Trans. Wireless Commun.*, vol. 16, no. 8, pp. 5001-5015, Aug. 2017.
- R. Wang, X. Peng, **J. Zhang**, and K. B. Letaief, "Mobility-aware caching for content-centric wireless networks: Modeling and methodology," *IEEE Commun. Mag.*, vol. 54, no. 8, pp. 77-83, Aug. 2016.
- J. Liu, B. Bai, **J. Zhang**, and K. B. Letaief, "Content caching at the wireless network edge: A distributed algorithm via brief propagation," in *Proc. IEEE Int. Conf. Commun. (ICC)*, Kuala Lumpur, Malaysia, May 2016. (**Best Paper Award**)
- X. Peng, J.-C. Shen, **J. Zhang**, and K. B. Letaief, "Joint data assignment and beamforming for backhaul limited caching networks," in *Proc. IEEE Int. Symp. on Personal Indoor and Mobile Radio Comm. (PIMRC)*, Washington, DC, Sept. 2014. (**Best Paper Award**)